



# SHIFTING VALENCE HELPS VERIFY CONTEXTUAL APPROPRIATENESS OF EMOTIONS

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# PRESENTATION OUTLINE

1. Introduction
2. Specificities of the Japanese language
3. ML-Ask – Automatic affect annotation tool
4. Contextual Valence Shifters
5. Contextual Appropriateness of Emotions
6. Verifying procedure
7. Evaluation Experiment
8. Results
9. Conclusions & Future Work

# INTRODUCTION

- Ontology - a formal representation of a group of concepts within a domain including relationships between those concepts.
- Applications (thousands!):
  - Industry <sup>1</sup>
  - Business <sup>2</sup>
  - Biology and biomedical informatics <sup>3</sup>
  - Information science:
    - Information retrieval, document classification
    - **affect analysis** <sup>4</sup>


- 1) Diez-Orzas, Pedro, Antonietta Alonge ``Exploiting data from the EuroWordNet database for industrial applications" In: Proceedings of the 1st International Conference on Language Resources and Evaluation , pp. 857-64, Granada, Spain, 1998.
- 2) N. Izumi and T. Yamaguchi: Supporting Development of Business Applications Based on Ontologies, International Conference on Electronic Commerce, pp.893-897 (2001)
- 3) Bodenreider, O. and A. Burgun and J.A. Mitchell ``Evaluation of WordNet as a source of lay knowledge for molecular biology and genetic diseases: A feasibility study" In: Studies in Health Technology and Informatics , pp. 379-384, vol.95, 2003
- 4) A Neviarouskaya, H Prendinger, M Ishizuka, *Recognition of Affect Conveyed by Text Messaging in Online Communication*, Lecture Notes in Computer Science, 2007, Springer

# INTRODUCTION

- Ontology - Examples:
  - WordNet<sup>1</sup> (Development began in 1985)
  - OpenCyc<sup>2</sup>


- 1) <http://wordnet.princeton.edu/>  
Miller, George A. "WordNet: a dictionary browser." In: Proceedings of the First International Conference on Information in Data, University of Waterloo, Waterloo, 1985.
- 2) <http://www.opencyc.org/>

# INTRODUCTION

- Ontology - Examples:
  - WordNet<sup>1</sup> (Development began in 1985)
  - OpenCyc<sup>2</sup>
- For Japanese (our processing language):
  - YATO: Yet Another Top-level Ontology<sup>3</sup>
  - Japanese WordNet<sup>4</sup>  (released in February 2009, 24 years after English version)

- 1) <http://wordnet.princeton.edu/>  
Miller, George A. "WordNet: a dictionary browser." In: Proceedings of the First International Conference on Information in Data, University of Waterloo, Waterloo, 1985.
- 2) <http://www.opencyc.org/>
- 3) <http://133.1.32.226/OntologyViewer/view.jsp?id=onto4>
- 4) <http://nlpwww.nict.go.jp/wn-ja/index.en.html>

# INTRODUCTION

- Ontology - Examples:
  - WordNet<sup>1</sup> (Development began in 1985)
  - OpenCyc<sup>2</sup>
- For Japanese (our processing language):
  - YATO: Yet Another Top-level Ontology<sup>3</sup>
  - Japanese WordNet<sup>4</sup>  (released in February 2009, 24 years after English version)
  - ...
- For Affect analysis (in English):
  - WordNet Affect<sup>5</sup>

- 1) <http://wordnet.princeton.edu/>  
Miller, George A. "WordNet: a dictionary browser." In: Proceedings of the First International Conference on Information in Data, University of Waterloo, Waterloo, 1985.
- 2) <http://www.opencyc.org/>
- 3) <http://133.1.32.226/OntologyViewer/view.jsp?id=onto4>
- 4) <http://nlpwww.nict.go.jp/wn-ja/index.en.html>
- 5) <http://wndomains.itc.it/download.html>  
C Strapparava, A Valitutti, *WordNet-Affect: an affective extension of WordNet*, Proceedings of LREC, 2004

# INTRODUCTION

- Ontology development:
  - Time (Japanese WordNet – 24 years after English WordNet [still in development])
  - Effort (many things tagged and evaluated manually)
  - Still lacking of many ontologies!  
(No WordNet Affect for Japanese)
- Ontology evolution (one of the meanings):
  - **Limitations!** -> Need higher level information about context

# INTRODUCTION

- Ontology development:
  - Need to do things faster
  - **Automatize tagging, annotation**
  - Automatize evaluation
  
- Ontology evolution (one of the meanings):
  - **Limitations!** -> Need higher level information about context  
Pragmatics (contextual use of language):
    - Evaluative information (good vs. bad)
    - Super-evaluative information (e.g. **what is appropriate for which context?**)\*



\*) In this research, by “context” we mean a context induced by one sentence.



# SPECIFICITIES OF THE JAPANESE LANGUAGE

11/8/2009

## Agglutinative language

- Morpheme : the smallest linguistic unit with semantic meaning 
- Sentences are formed by joining morphemes together 
- Syntax and semantics are closer than in, e.g. English

# ML-ASK – AUTOMATIC AFFECT ANNOTATION TOOL

## ○ Usual approach to affect analysis:

- A database of emotive words \*
- Processing (Matching input using Web mining, word statistics, etc.)
- Example: “John is a **nice** person.”  
Emotive expression: “**nice**”  
emotion: liking, fondness

...but that's just a *declarative sentence*.

In a real conversation:

“Oh, **but** John is **such a nice** person !”

\*) For example: WordNet Affect in English: Strapparava, C., Valitutti, A.: *An Affective Extension of WordNet*, Proceedings of LREC'04, pp.1083-1086.(2004)

In Japanese: manually build: Seiji Tsuchiya, Eriko Yoshimura, Hirokazu Watabe and Tsukasa Kawaoka, Proposal of Method to Judge Speaker's Emotion Based on Association Mechanism, KES2007, Vol.1, pp.847-857, 2007; enriched with Web minig: Ryoko Tokuhisa, Kentaro Inui, and Yuji Matsumoto. Emotion classification using massive examples extracted from the Web. In Proceedings of the 22nd International Conference on Computational Linguistics (COLING-2008), pp881-888, Aug. 2008

# ML-ASK – AUTOMATIC AFFECT ANNOTATION TOOL

- Our approach to affect analysis:

In language there are:

1. **Emotive expressions**\*
2. **Emotiveness indicators. “Emotemes”** – Japanese emotive morphemes\*\*

“Oh, but John is **such a nice** person !”

“Oh, but John is **such a rude** person !”

\*) A. Nakamura, *Kanjō hyōgen jiten* (Dictionary of Emotive Expressions), Tokyodo Publishing, Tokyo (1993)

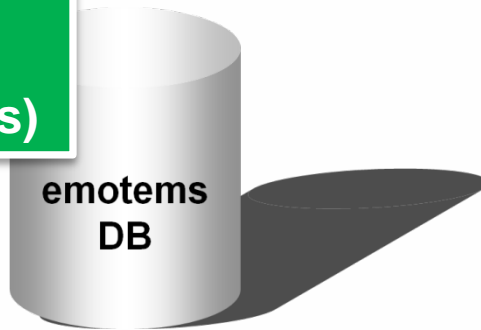
\*\*\*) M. Ptaszyński, *Moeru gengo - Intānetto kei-jiban no ue no nihongo kaiwa ni okeru kanjōhyōgen no kōzō to kigōrontekikinō no bunseki* – “2channeru, denshikeijiban o rei toshite” –(Boisterous language. Analysis of structures and semiotic functions of emotive expressions in conversation on Japanese Internet bulletin board forum - 2channel -), UAM, Poznań (2006)

Michał Ptaszyński, Paweł Dybala, Rafał Rzepka and Kenji Araki. *Effective Analysis of Emotiveness in Utterances based on Features of Lexical and Non-Lexical Layer of Speech*. In Proceedings of NLP2008, pp 171-174, 2008.

Michał Ptaszyński, Paweł Dybala, Rafał Rzepka and Kenji Araki. *Affecting Corpora: Experiments with Automatic Affect Annotation System - A Case Study of the 2channel Forum* -, The Conference of the Pacific Association for Computational Linguistics (PACLING-09), September 1-4, 2009, Hokkaido University, Sapporo, Japan

# ML-ASK – AUTOMATIC AFFECT ANNOTATION TOOL

Gathered manually  
(907 items)



## exclamatives

すげえ *sugee* (great!)  
うおお *wooo* (whoa!)

## mimetics (*gitaigo*)

ワクワク *wakuwaku* (heart pounding)  
ドキドキ *dokidoki* (go pit-a-pat)

## vulgarities

-やがる *-yagaru* (fu\*\*ing do sth)  
くそ *kuso* (shit)  
馬鹿 *baka* (stupid)

## hypocoristics

-ちゃん *-chan* (name suffix)

textual representations of voice modulation  
and body language (emoticons)

“!” , “??” , “...” , (T\_T) , (-A-;) , \_|\_ |O

Nakamura's  
dictionary  
(2100 items)



## nouns

愛情 *ajou* (love)  
安心 *anshin* (relief)  
恐怖 *kyofu* (fear)

## verbs

喜ぶ *yorokobu* (be glad)  
悲しむ *kanashimu* (feel sad)  
むかつく *mukatsuku* (get angry)

## phrases / idioms

虫酸が走る *mushizu ga hashiru* (give one the creeps )  
心が解ける *kokoro ga tokeru* (one's heart is melting in relief)  
歡天喜地 *kantenkichi* (delight larger than Haven and Earth)

## adjectives

嬉しい *ureshii* (happy)  
悔しい *kuyashii* (mortifying)  
怖い *kowai* (scary)



10-type  
emotion  
classification:

1. Joy, delight
2. Anger
3. Sorrow, sadness, gloom
4. Fear
5. Shame, shyness, bashfulness
6. Liking, fondness
7. Dislike, detestation
8. Excitement
9. Relief
10. Surprise, amazement

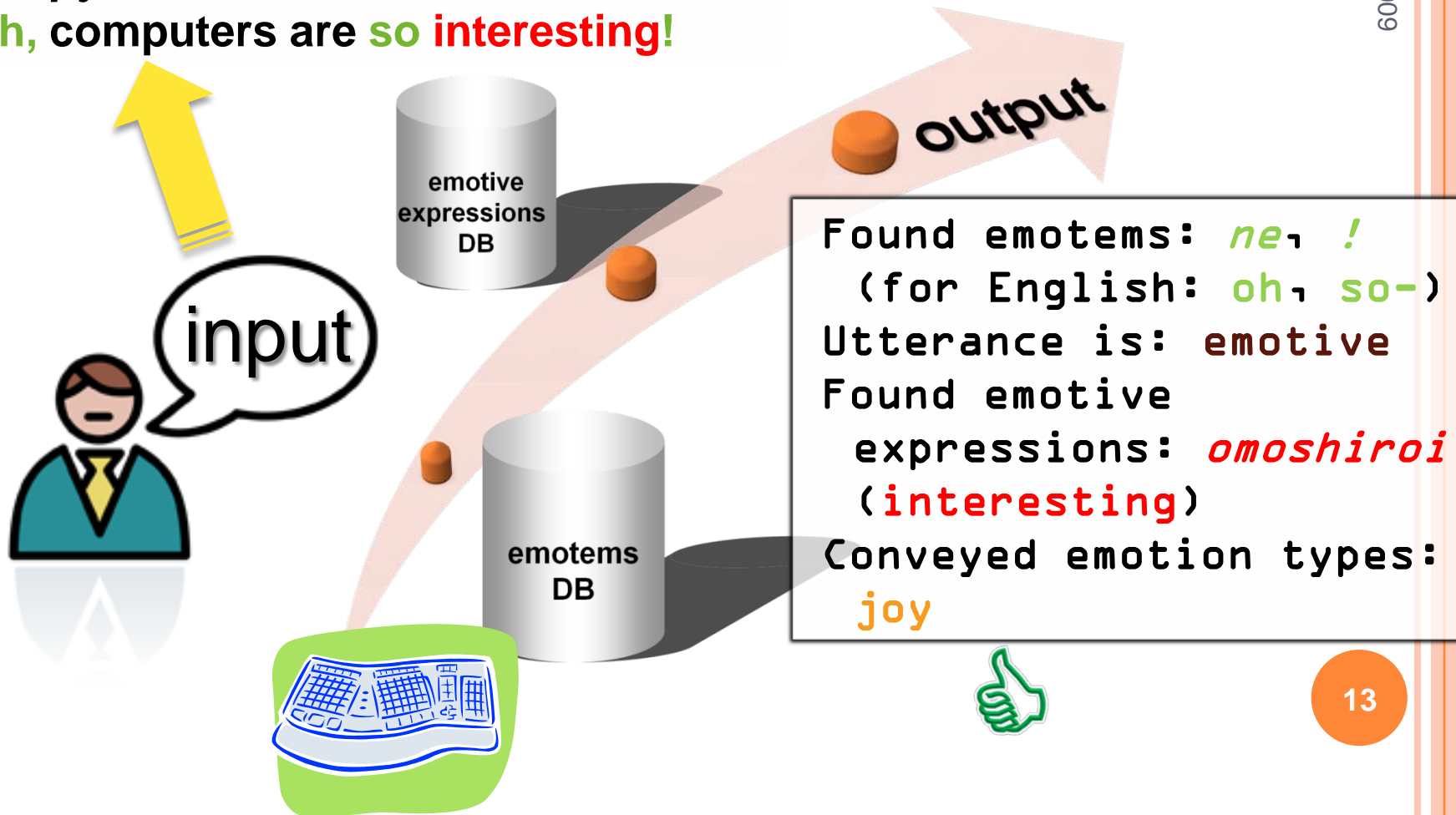
# ML-ASK – AUTOMATIC AFFECT ANNOTATION TOOL

コンピュータは面白いですね!

*Konpyuuta wa omoshiroi desu ne!*

Oh, computers are so interesting!

11/8/2009



# ML-ASK – AUTOMATIC AFFECT ANNOTATION TOOL

11/8/2009

## Problematic inputs:

あんまり面白くなかったな...

Anmari **omoshiroku** nakatta na...

Oh, it wasn't that **interesting**...

```
Found emotems: na, ...  
(for English: oh, ...)  
Utterance is: emotive  
Found emotive  
expressions: omoshiroi  
(interesting)  
Conveyed emotion types:  
joy
```



# ML-ASK – AUTOMATIC AFFECT ANNOTATION TOOL

11/8/2009

## Problematic inputs:

あんまり面白くなかったな...

Anmari **omoshiroku** nakatta na...

Oh, it wasn't that **interesting**...

↑  
“not that-” changes  
the valence

Found emotems: *na, ...*  
(for English: *oh, ...*)  
Utterance is: **emotive**  
Found emotive  
expressions: **omoshiroi**  
(**interesting**)  
Conveyed emotion types:  
**joy**



# CONTEXTUAL VALENCE SHIFTERS

- Polanyi, L. and Zaenen, A. (2004) 'Contextual Valence Shifters', *AAAI Spring Symposium on Exploring Attitude and Affect in Text: Theories and Applications*.

(Published later by Springer: *Computing Attitude and Affect in Text: Theory and Applications*)



# CONTEXTUAL VALENCE SHIFTERS

- Definition:

The group of words and phrases, which change the semantic orientation (valence polarity) of an evaluative word.

**negations:** not- , never-, etc., in Japanese: *amari -nai* (not quite-), *mattaku -nai* (not at all-), or *sukoshi mo -nai* (not even a bit-).

**intensifiers:** very- , deeply- , etc., in Japanese: *totemo-* (very much-), *sugoku-* (-a lot), or *kiwamete-* (extremely).

# CONTEXTUAL VALENCE SHIFTERS

Examples:

John **is** clever vs. John **is not** clever.

*clever +1 combined with not -> not clever -1*

John **is** successful at tennis vs. John **is never** successful at tennis.

*successful +1 combined with not -> not successful -1*

**Each** of them is successful vs. **None** of them is successful.

Polanyi, L. and Zaenen, A. (2004)

# CONTEXTUAL VALENCE SHIFTERS

1. 諦めちゃいけないよ!

*Akirame cha ikenai yo!*

Don't *cha* give up!



\*) Emotive expressions – red  
Emotemes – green

1/18/2009

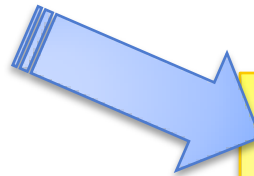
CVS constructions:

-*cha ikenai* / -*tewa ikenai* (don't you, you cannot)

2. それほど面白くもなかったよ。

*Sore hodo omoshiroku mo nakatta yo.*

Oh, come on, it wasn't that interesting.



CVS constructions:

-*mo nakatta* / -*mo nai* (it is/was not that)

# CONTEXTUAL VALENCE SHIFTERS

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*Akirame cha ikenai yo!*

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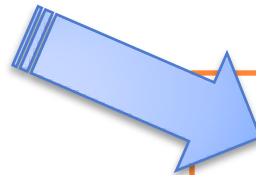


“Give up” in Nakamura’s dictionary  
= “dislike”  
which emotion type is  
“not-dislike” ??

2. それほど面白くもなかったよ。

*Sore hodo omoshiroku mo nakatta yo.*

Oh, come on, it wasn't that *ineteresting*.



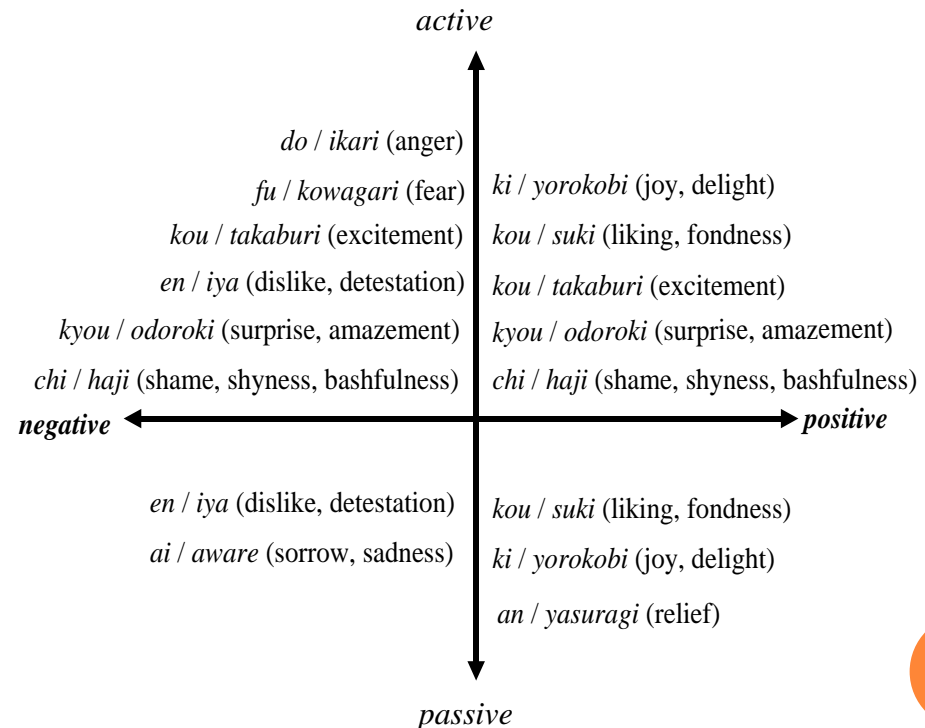
“interesting” in Nakamura’s  
dictionary = “joy”  
which emotion type is  
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# CONTEXTUAL VALENCE SHIFTERS

## ○ 2-dimensional model of affect.

*“All emotions can be described in a space of two-dimensions: valence polarity (positive / negative) and activation (active / passive).”*

**Nakamura's emotion types mapped on Russell's model (all possibilities)**

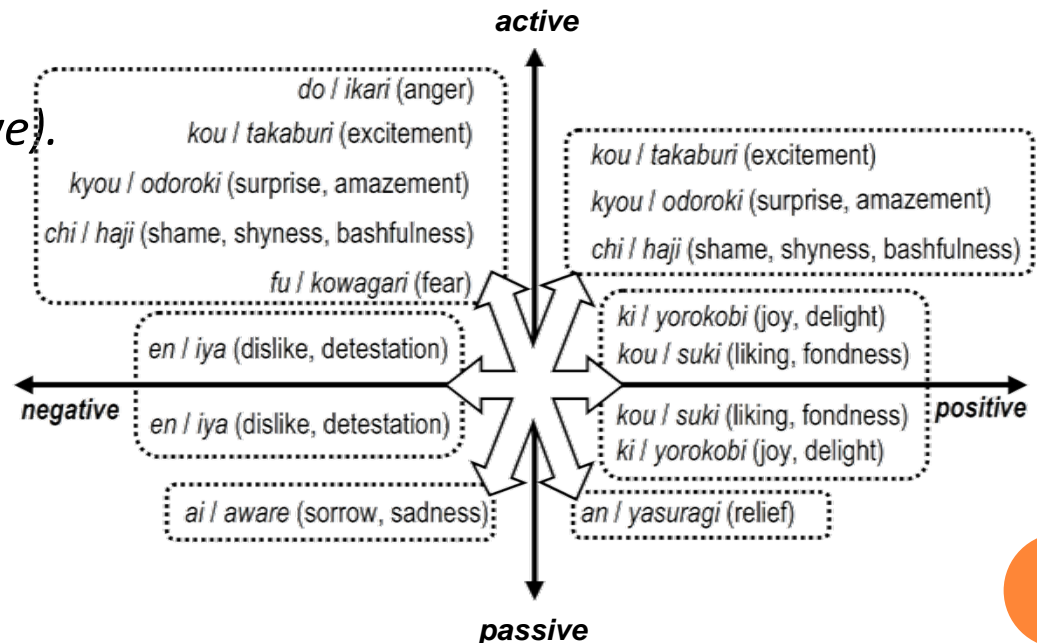


# CONTEXTUAL VALENCE SHIFTERS

- 2-dimensional model of affect.

*“All emotions can be described in a space of two-dimensions: valence polarity (positive / negative) and activation (active / passive).”*

Assumption:  
CVS negation changes both valence and activation parameters



# CONTEXTUAL VALENCE SHIFTERS

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*Akirame cha ikenai yo!*

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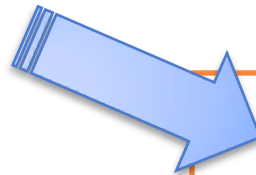


“give up” = “dislike”  
“not-dislike” = “joy, fondness”  
(includes “encouragement”)

2. それほど面白くもなかったよ。

*Sore hodo omoshiroku mo nakatta yo.*

Oh, come on, it wasn't that *in*teresting.



“interesting” = “joy”  
“not-joy” = “dislike”  
(includes “boredom”)

# INTRODUCTION

- Ontology development:
  - Need to do things faster
  - ☑ ● **Automatize tagging, annotation**
  - Automatize evaluation
  
- Ontology evolution (one of the meanings):
  - **Limitations!** -> Need higher level information about context
    - Pragmatics (contextual use of language):
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      - Super-evaluative information (e.g. **what is appropriate for which context?**)\*



# CONTEXTUAL APPROPRIATENESS OF EMOTIONS

- Contextual Appropriateness :
  - Good vs. bad is not enough
  - Is this particular “good”/“bad” appropriate for this context?
    - John was in a **bad mood** during the party last night...

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    - Mary looks **happy**...

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[Expression of emotion] [causal form] [**cause of the emotion**]

# CONTEXTUAL APPROPRIATENESS OF EMOTIONS

Japanese tend to express emotions after expressing their cause. (in English – both, before or after)

今日は彼女とデートに行って楽しかった！ *Kyo wa kanojo to deeto ni itte tanoshikatta!*  
“Today I went on a date with my girlfriend – it was fun!” or  
“I had so much fun because I went on a date with my girlfriend today!”



Emotions are often expressed after morphemes of causality <sup>1</sup>

Causality morphemes in Japanese: **-kara, -node, -te, -to, -tara (90% of all)<sup>2</sup>, -ba, -nara, -noga, -kotoga, -kotowa, -nowa**

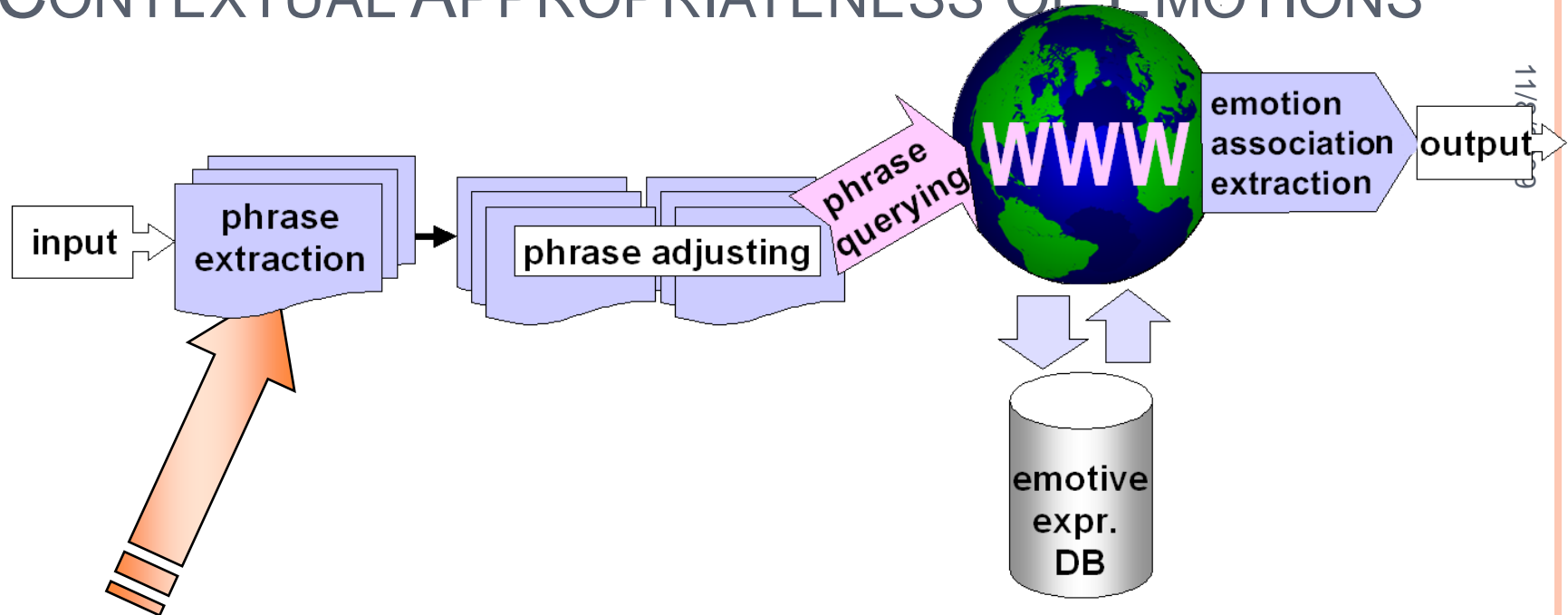
- 1) Yoshitaka Yamashita. *Kara, Node, Te-Conjunctions which express cause or reason in Japanese* (in Japanese). Journal of the International Student Center, 3, Hokkaido University, 1999.
- 2) Wenhan Shi, Rafal Rzepka and Kenji Araki. *Emotive Information Discovery from User Textual Input Using Causal Associations from the Internet* (in Japanese). FIT-08, pp.267-268, 2008.

# CONTEXTUAL APPROPRIATENESS OF EMOTIONS

## Assumption:

- On the Internet there are many sentences.
- There are many people with similar experiences.
- People express their emotions for those experiences.
- **The most frequent emotions are the most natural and appropriate for the context.**

# CONTEXTUAL APPROPRIATENESS OF EMOTIONS



I'm depressed because I was given the sack and my girlfriend left:

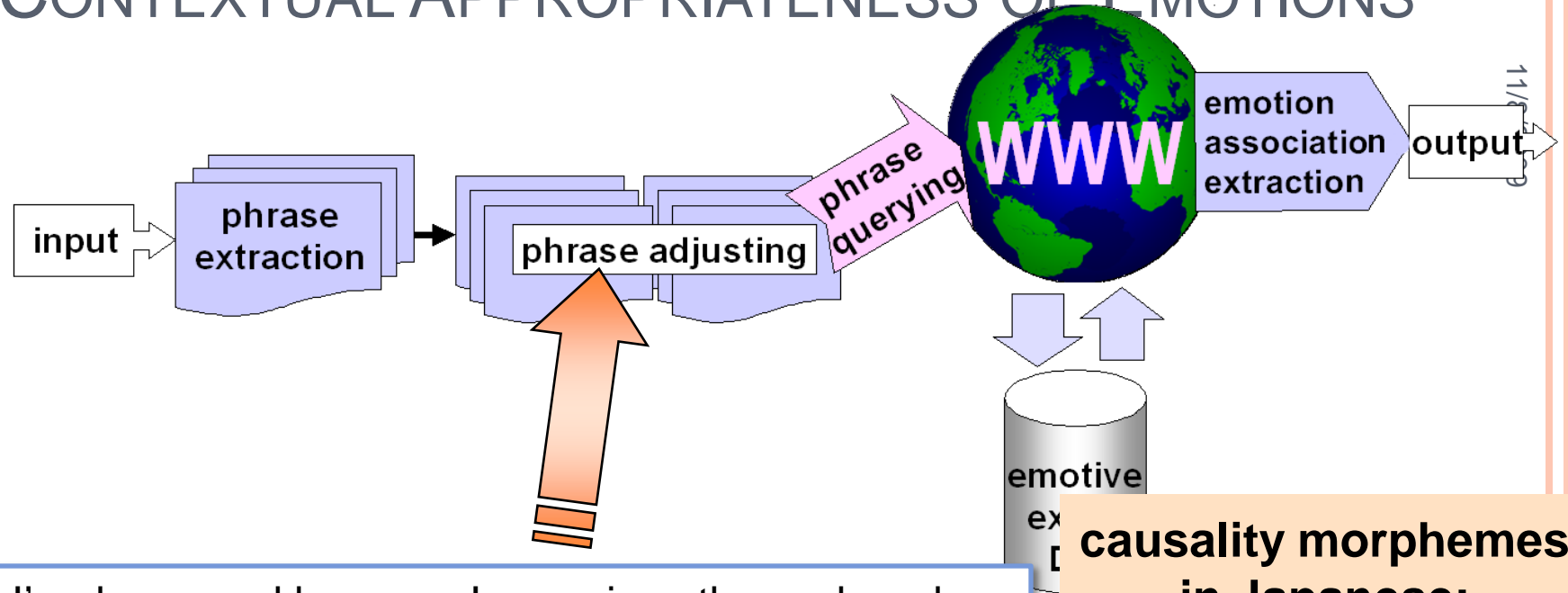
“to be given the sack and be left by a girlfriend”

“to be given the sack”

“to be left by a girlfriend”



# CONTEXTUAL APPROPRIATENESS OF EMOTIONS



I'm depressed because I was given the sack and my girlfriend left:

“because I was given the sack and was left by a girl”

“because I was given the sack”

“if I was given the sack”

“since I was given the sack”

“because I was left by a girl”, “since...”

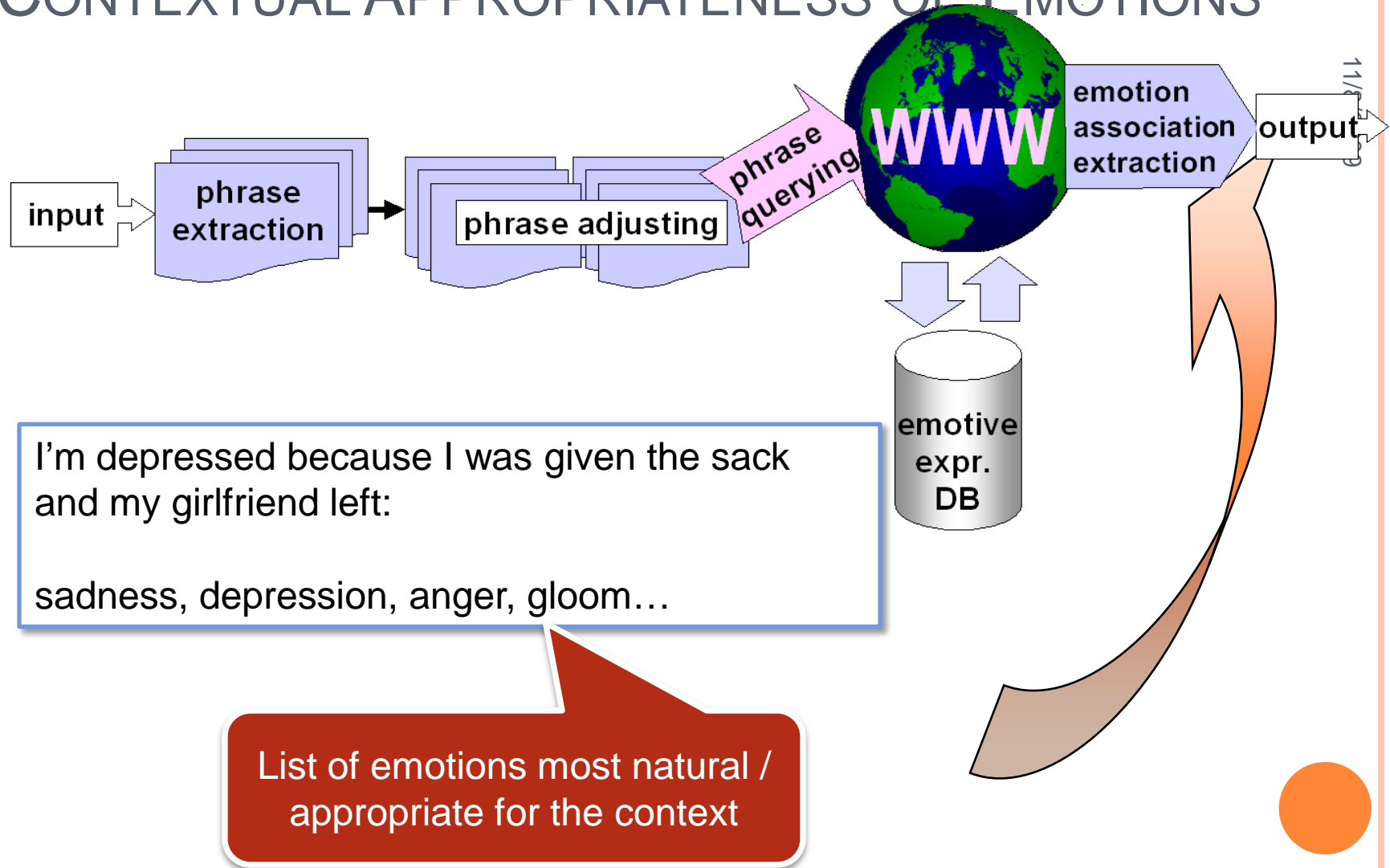
**causality morphemes  
in Japanese:**

-te, -to, -node, -kara,  
-tara

**Causality forms  
in English:**

If-, because-, since-,  
-so, -therefore...

# CONTEXTUAL APPROPRIATENESS OF EMOTIONS



# VERIFYING PROCEDURE

コンピュータは面白いですね!

*Konpyuuta wa omoshiroi desu ne!*

Oh, computers are so interesting!

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ML-Ask:

- Joy

Web-mining (list of natural emotions):

- Joy
- Surprise
- Excitement...

1. If an emotion type specified by ML-Ask appears on the list, it is appropriate.

# VERIFYING PROCEDURE

駄洒落がすきなんですね

*Dajare ga suki nan desu ne.*

Oh, so you like puns, don't you?

11/8/2009

ML-Ask:

- Liking



Web-mining (list of natural emotions):

- Joy
- Surprise
- ...

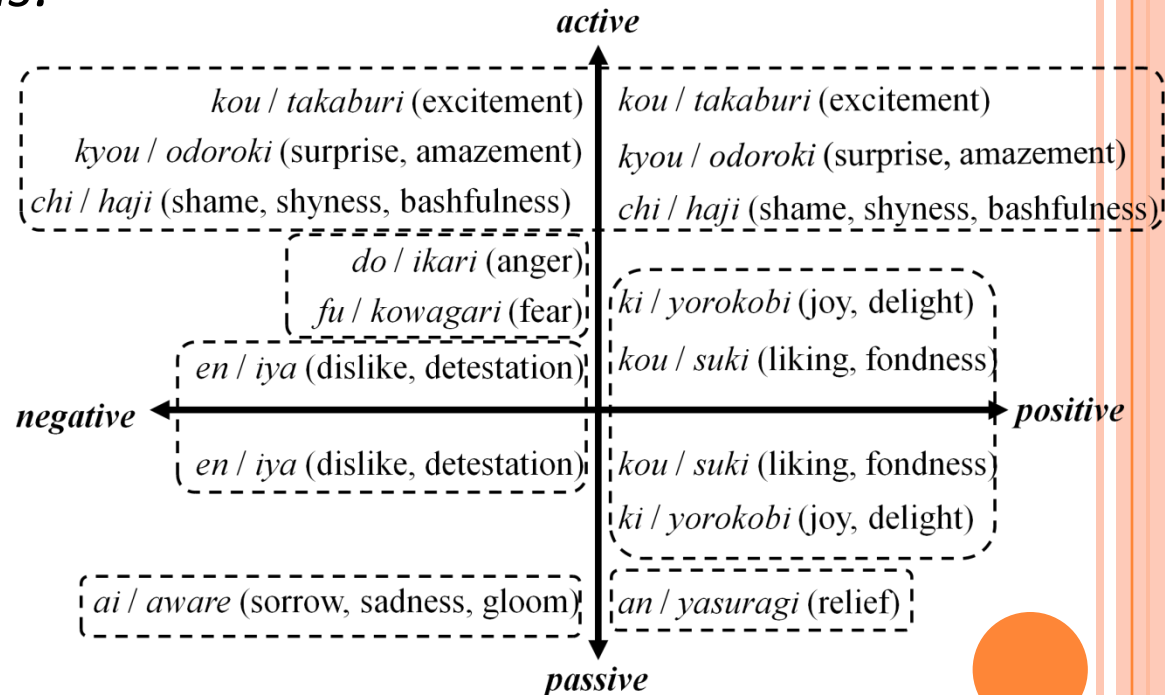
What if they don't match perfectly?

# VERIFYING PROCEDURE

## ○ 2-dimensional model of affect

“All emotions can be described in a space of two-dimensions: valence polarity (positive / negative) and activation (active / passive).”

**Nakamura's emotion types mapped on Russell's model (all possibilities)**



# VERIFYING PROCEDURE

駄洒落がすきなんですね

*Dajare ga suki nan desu ne.*

Oh, so you like puns, don't you?

11/8/2009

ML-Ask:

- Liking



Web-mining (list of natural emotions):

- Joy
- Surprise
- ...

2. If in the same Russell space then appropriate.

# VERIFYING PROCEDURE

	Negative	Positive
Appropriate	<p>User: <i>I hate him for making a fool of me in front of everyone.</i>  <b>ML- Ask:</b> di sl i ke;  <b>Web mi ni ng:</b> anger, di sl i ke            Agent: <i>You have a reason to be angry.</i>            [ empathy ]</p>	<p>User: <i>I'm so happy I passed the exam!</i>  <b>ML- Ask:</b> j oy;  <b>Web mi ni ng:</b> j oy, exci tement            Agent: <i>Yeah! That's great!</i>            [ sympathy ]</p>
Inappropriate	<p>User: <i>I'd be happy if that bastard was hit by a car!</i>  <b>ML- Ask:</b> j oy;  <b>Web mi ni ng:</b> fear, sadness            Agent: <i>Is that what you really feel?</i>            [ counsel ]</p>	<p>User: <i>I feel so bored for winning the Loebner prize.</i>  <b>ML- Ask:</b> di sl i ke, depressi on;  <b>Web mi ni ng:</b> exci tement, j oy            Agent: <i>You should be happy!</i>            [ consol ati on ]</p>

# VERIFYING PROCEDURE

	Appropriate hate	Appropriate joy
Appropriate	<p>User: <i>I hate him for making a fool of me in front of everyone.</i>            ML- Ask: <i>di sl i ke</i>;            Web mi ni ng: <i>anger, di sl i ke</i>            Agent: <i>You have a reason to be angry.</i>            [ <i>empathy</i> ]</p>	<p>User: <i>I'm so happy I passed the exam!</i>            ML- Ask: <i>j oy</i>;            Web mi ni ng: <i>j oy, exci tement</i>            Agent: <i>Yeah! That's great!</i>            [ <i>sympathy</i> ]</p>
Inappropriate	<p>User: <i>I'd be happy if that bastard was hit by a car!</i>            ML- Ask: <i>j oy</i>;            Web mi ni ng: <i>fear, sadness</i>            Agent: <i>Is that what you really feel?</i>            [ <i>counsel</i> ]</p>	<p>User: <i>I feel so bored for winning the Loebner prize.</i>            ML- Ask: <i>di sl i ke, depressi on</i>;            Web mi ni ng: <i>exci tement, j oy</i>            Agent: <i>You should be happy!</i>            [ <i>consol ati on</i> ]</p>



# EVALUATION EXPERIMENT

- 13 user-participants
  - 2 conversational agents
    - Modalin: modality<sup>1</sup>
    - Pundalin: modality + puns<sup>2</sup>
  - 10-turn conversation
  - 26 conversations (6 had no specified emotions)  
-> 20 conversation sets
  - affect analysis, verification
- 2 versions of the system: with / without CVS

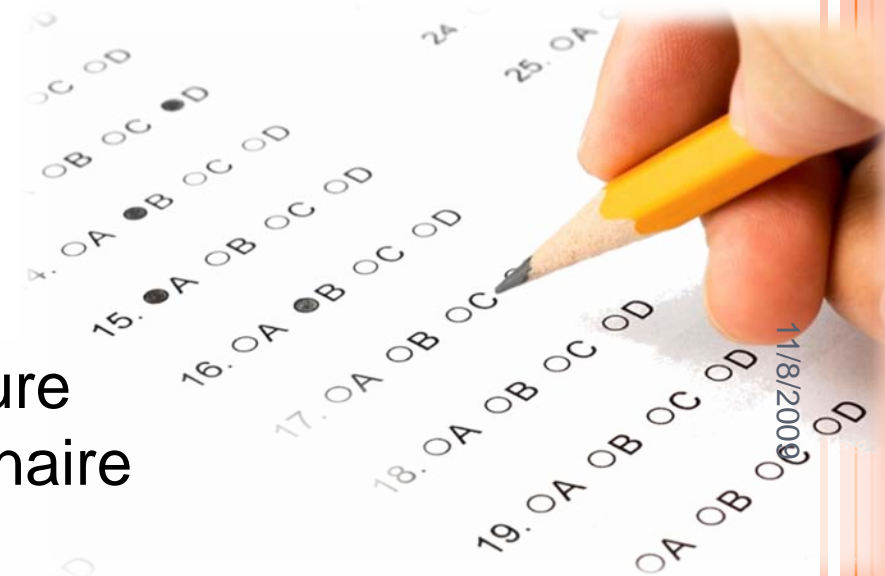
11/8/2009



- 1) Shinsuke Higuchi, Rafal Rzepka and Kenji Araki. *A Casual Conversation System Using Modality and Word Associations Retrieved from the Web*. In Proceedings of the EMNLP 2008, pages 382-390, 2008.
- 2) Pawel Dybala, Michal Ptaszynski, Shinsuke Higuchi, Rafal Rzepka and Kenji Araki. *Humor Prevails! – Implementing a Joke Generator into a Conversational System*, LNAI 5360:214-225, Springer-Verlag, 2008.

# EVALUATION EXPERIMENT

- Results of verification procedure were evaluated by a questionnaire
- Questions:
  - Are the emotions positive / negative?
  - What were the emotion types?
  - Were the emotions appropriate for the situation?
- 20 sets / Every set evaluated by 10 people (≠users)
- Overall 200 different evaluations



# RESULTS

- Number of people who agreed with the system per case.
- Evaluated items:
  - A) Emotion valence recognition by ML-Ask
  - B) Emotion type recognition by ML-Ask
  - C) Appropriateness verification of emotion types
  - D) Appropriateness verification of emotion valence
- Two summarization of results:
  - (1) If 4 people out of 10 agree it's enough for a common-sense
  - (2) For 10 people = 10 points, 0 people = 0 points
- Did CVS implementation help?

# RESULTS

- Improvement with CVS:

(1)

A) 75% -> 85%

B) 75% -> 90%

C) 45% -> 50%

D) 50% -> 55%

(2)

A) 63% -> 70%

B) 55% -> 63%

C) 36% -> 41%

D) 45% -> 50%

# CONCLUSIONS & FUTURE WORK

- Agent equipped with our system can determine what communication strategy is the most desirable
  - Personal conversational agent
  - Stress management counselor
  - Companion for kids
- Application to ontology development
  - Creating WordNet Affect for Japanese
  - Enriching an affective ontology with rules of appropriateness (e.g., expressing happiness is good, but if on a funeral then inappropriate)

# CONCLUSIONS & FUTURE WORK

- Improve ML-Ask
  - Disambiguate emotion type affiliations of emotemes
  - Enlarge databases
- Improve Web-mining
  - Mining certain areas (blogs, forums)

*Thank you for listening!*







# CONTEXTUAL APPROPRIATENESS OF EMOTIONS

## ○ Contextual Appropriateness :

- John is sad because his close friend died.  
(Negative, but appropriate)

Vs.

- John is happy because his close friend died.  
(Positive, but inappropriate)

Google:

"sad"\*"close friend died" vs. "happy"\*"close friend died"

"close friend died"\*"sad" vs. "close friend died"\*"happy"

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1,060 vs. 9

"close friend died"\*"cheerful" : 9

"cheerful" \*"close friend died": 9

"close friend died"\*"depressed": 516

"depressed"\*"close friend died": 415

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Causal  
information