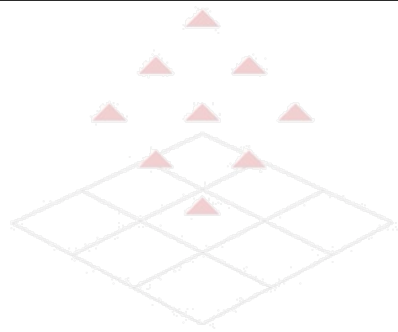


Computational Phronesis as a Possible Path toward Machine Emotional Integrity

Michal Ptaszynski

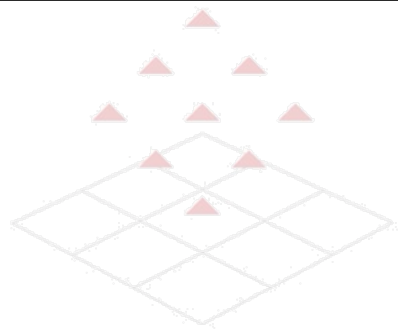
Department of Computer Science,
Kitami Institute of Technology



Computational Phronesis as a Possible Path toward **Machine Emotional Integrity**

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Integrity

- **Integrity** (/ɪn'tɛɡrɪti/, noun)
Definition from the Oxford dictionary

Integrity

- The quality of being honest and having strong moral principle (*a gentleman of complete integrity*)

Integrity

- The quality of being honest and having strong moral principle (*a gentleman of complete integrity*)
- The state of being whole and undivided (*By invading Crimea Russia disturbed integrity of Ukraine*)

Integrity

- The quality of being honest and having strong moral principle (*a gentleman of complete integrity*)
- The state of being whole and undivided (*By invading Crimea Russia disturbed integrity of Ukraine*)
- The condition of being unified or sound in construction (*the structural integrity of the novel*)

Integrity

- The quality of being honest and having strong moral principle (*a gentleman of complete integrity*)
- The state of being whole and undivided (*By invading Crimea Russia disturbed integrity of Ukraine*)
- The condition of being unified or sound in construction (*the structural integrity of the novel*)
- Internal consistency or lack of corruption in data (*integrity checking*)

Integrity

- **Being honest, whole, undivided, unified, in state of internal consistency with oneself**

Emotional integrity

- Being honest, whole, undivided, unified, in state of internal consistency with ones' emotions.

Emotional integrity

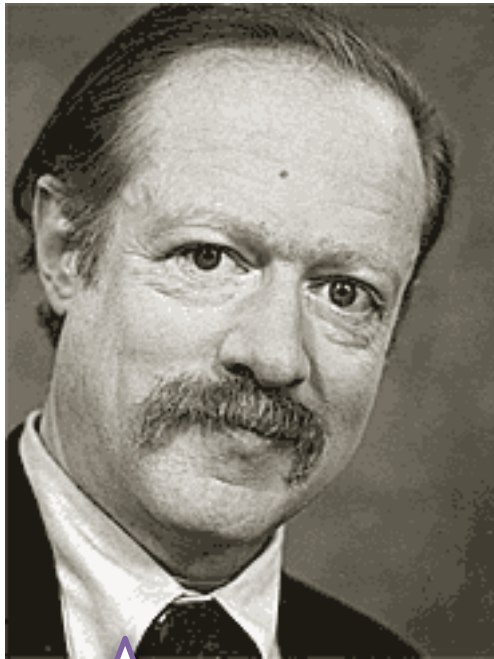


Bob Solomon (1942-2007)

**To be in emotional integrity
with oneself means to be
able to **use** one's emotions to
enhance one's life**

Emotional integrity

Bob Solomon (1942-2007)



To be in emotional integrity
with oneself means to be
able to **use** one's emotions to
enhance one's life

Emotions is
what we **do**.

**Use = be aware of and
consciously live with one's
own and others' emotions**

Emotional integrity



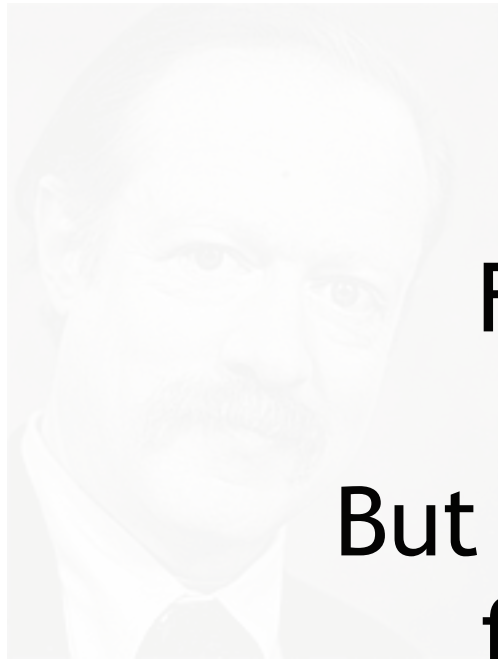
Bob Solomon (1942-2007)

**To be in emotional integrity
with oneself means to be
able to **use** one's emotions to
enhance one's life**

Something like
emotional
enlightenment

**Use = be aware of and
consciously live with one's
own and others' emotions**

Emotional integrity



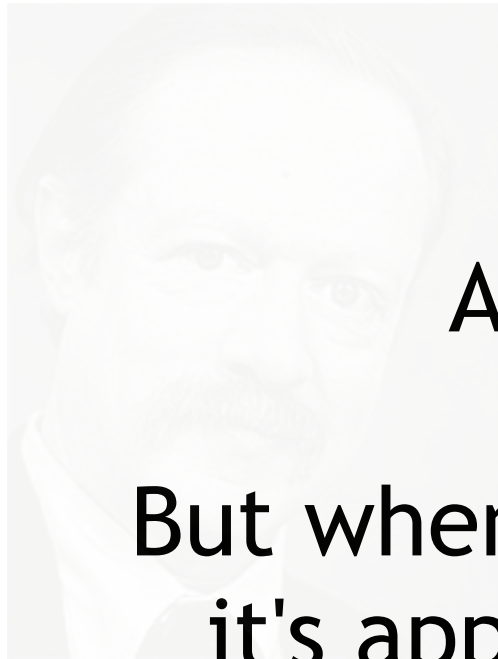
Bob Solomon (1942-2007)

Fear - negative?

But helps us stay away
from predators.

Use = be aware of and
consciously live with one's
own and others' emotions

Emotional integrity



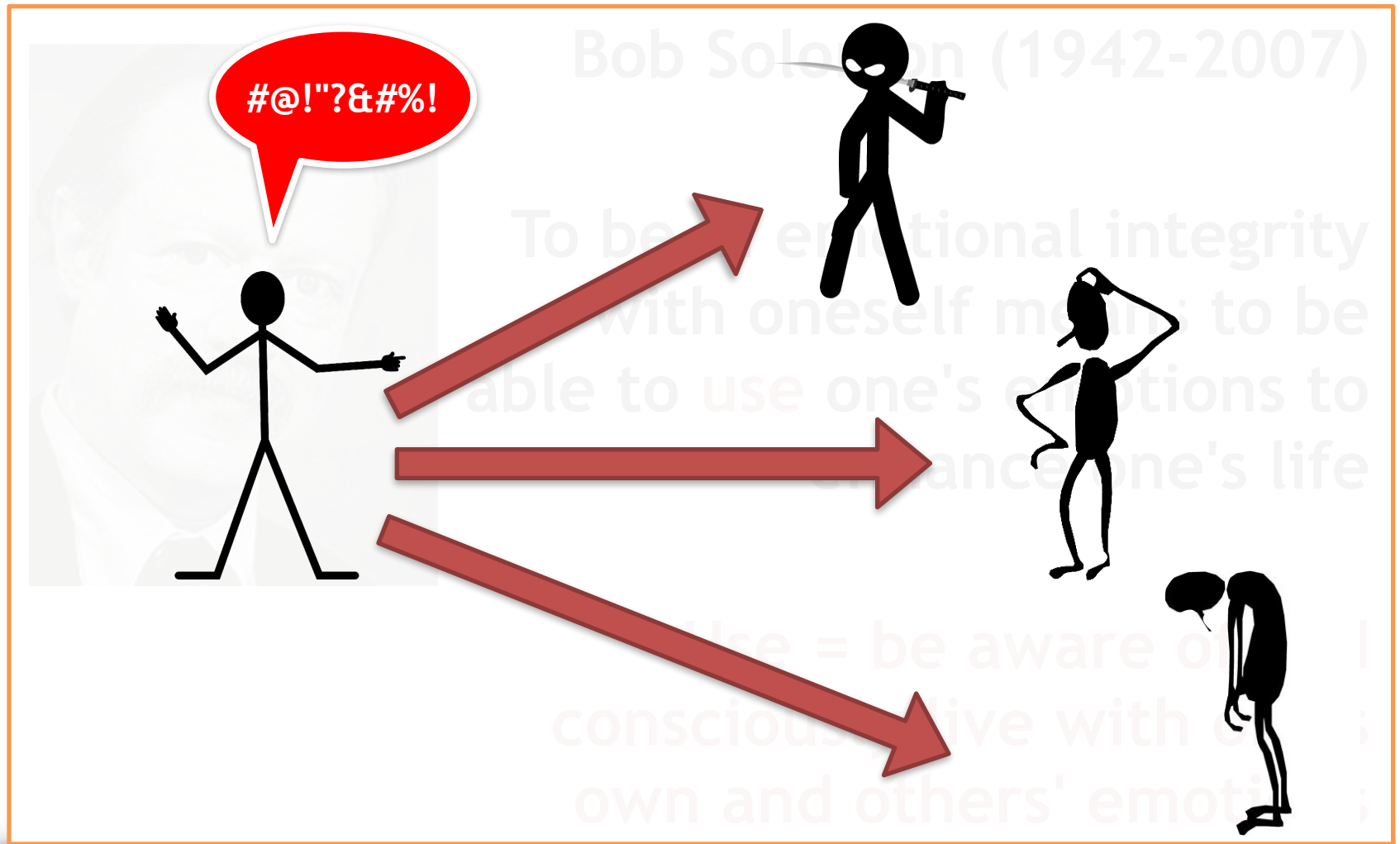
Bob Solomon (1942-2007)

Anger - negative?

But when somebody insults you,
it's appropriate to get angry.

Use = be aware of and
consciously live with one's
own and others' emotions

Emotional integrity



Emotional integrity

Bob Solomon (1942-2007)

Emotional strategies

- * Ability to **use** emotions according to situation (**context**)

Use = be aware of and consciously live with one's own and others' emotions

Emotional integrity

- It would be great to have a computational model of emotional integrity
 - To study humans
 - Train robots to understand our real needs (and enhance our lives)
- But how?

Emotional integrity

- Need a framework to work on
- One general concept of "Intelligence" ? - too much.

Intelligence

Intelligence - one or many?

1983. Howard Gardner - “IQ tells you nothing!”.¹
(Theory of multiple intelligences)

There are many kinds of intelligence: logical, linguistic, spatial, musical, kinesthetic, naturalist, intrapersonal and interpersonal...

1990. Peter Salovey & John D. Mayer - **Emotional Intelligence**²

The ability to recognize, monitor one's own and others' emotions, to discriminate among them and to use this information to guide one's thinking and actions.

1. Gardner, Howard (1983). Frames of mind: The theory of multiple intelligences. New York: Basic Books

2. Salovey, P. & Mayer, J.D. (1990) "Emotional intelligence" Imagination, Cognition, and Personality, 9, 185-211

Intelligence

Emotional Intelligence Framework

I Perception, appraisal, and expression of emotion

- Ability to recognize emotion in one's physical and psychological states, in other people and objects.
- Ability to discriminate between accurate and inaccurate, appropriate and inappropriate, honest and dishonest, expressions of emotions.
- Ability to express emotions accurately, and to express needs related to them.

II Emotional facilitation of thinking

- Ability to redirect and prioritize one's thinking based on the feelings associated with objects, events, and other people.
- Ability to generate or emulate vivid emotions to facilitate judgments and memories concerning feelings.
- Ability to capitalize on mood swings to take multiple points of view; ability to integrate these mood-induced perspectives.
- Ability to use emotional states to facilitate problem solving and creativity.

III Understanding and analyzing emotional information; employing emotional knowledge

- Ability to understand how different emotions are related.
- Ability to perceive the causes and consequences of emotions.
- Ability to interpret complex emotions, such as emotional blends and contradictory feeling states.
- Ability to understand and predict likely transitions between emotions.

IV Regulation of emotion

- Ability to be open to feelings, both those that are pleasant and those that are unpleasant.
- Ability to monitor and reflect on emotions.
- Ability to engage, prolong, or detach from an emotional state, depending upon its judged informativeness or utility.
- Ability to manage emotion in oneself and others.

John D. Mayer and Peter Salovey. *What is emotional intelligence?*, Emotional Development and Emotional Intelligence:3-31, 1997.

Intelligence

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III Understanding and analyzing emotional information; employing emotional knowledge

- Ability to understand how different emotions are related.

~~Ability to recognize the causes and consequences of emotions~~

**manage emotion in oneself
and others.**

dictory feeling states.

**Emotional integrity assumes obtaining
all abilities till the last one.**

~~Ability to monitor and reflect on emotions~~

- Ability to engage, prolong, or detach from an emotional state,
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Intelligence

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- Ability to recognize emotion in one's physical and
- Ability to discriminate between accurate and inaccurate, appropriate
- Ability to express emotions accurately, and to express needs related

After 20 years of Affective Computing we're still here!!

emotions.

recognize emotions

d on the feelings associated with objects, events, and other people.
facilitate judgments and memories concerning feelings.

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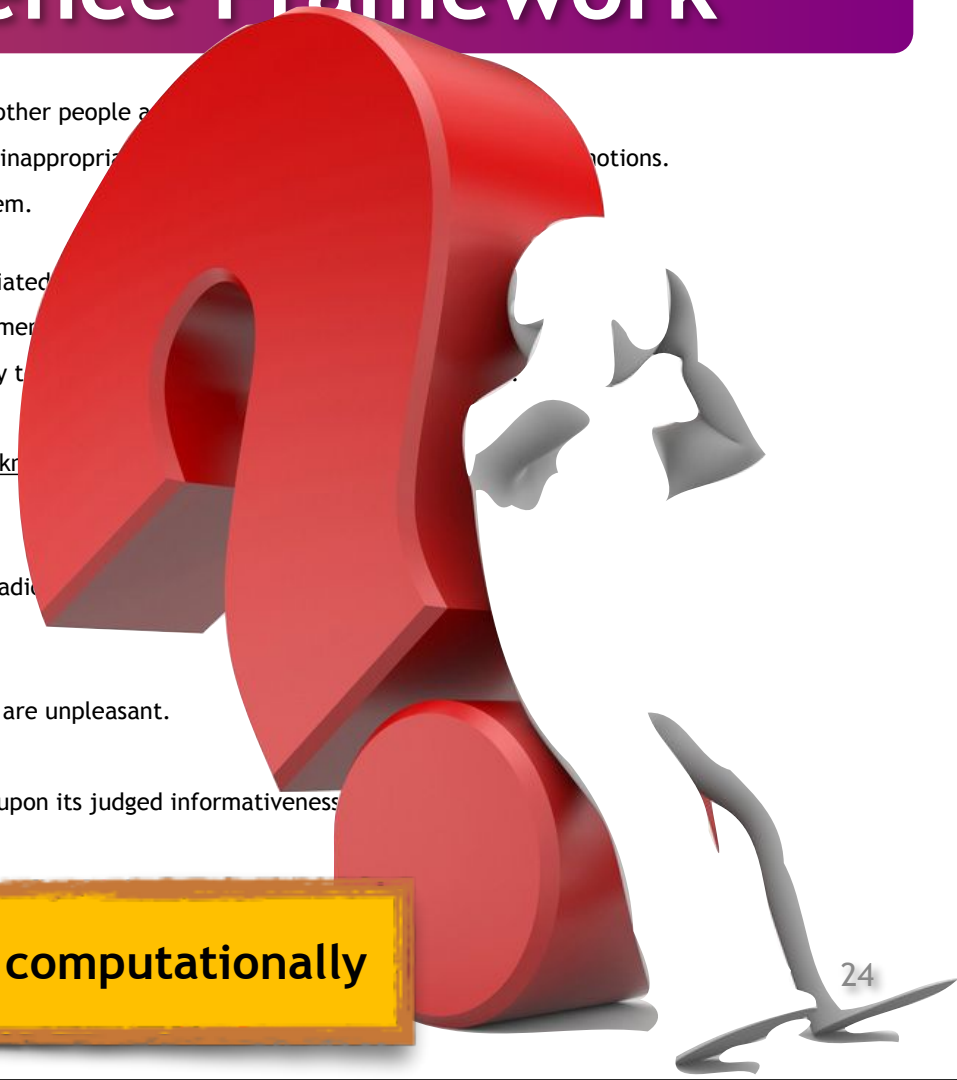
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- Ability to **manage emotion in oneself and others**.

The abilities are difficult to formalize computationally

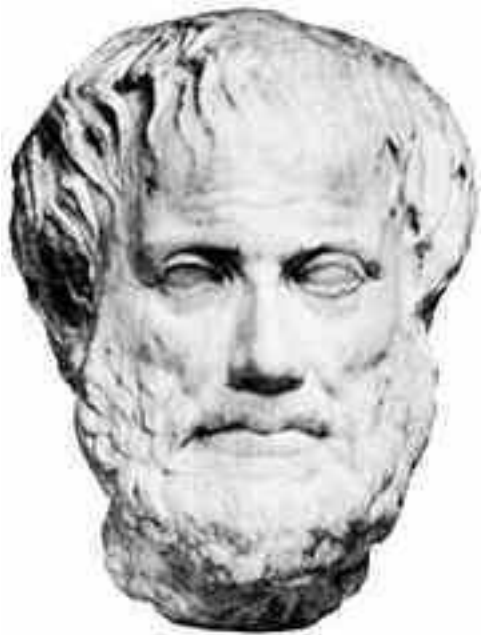


Intelligence

- Need something else that would suffice
- When you start looking for answers you usually look at...

Intelligence

Ancient Greece

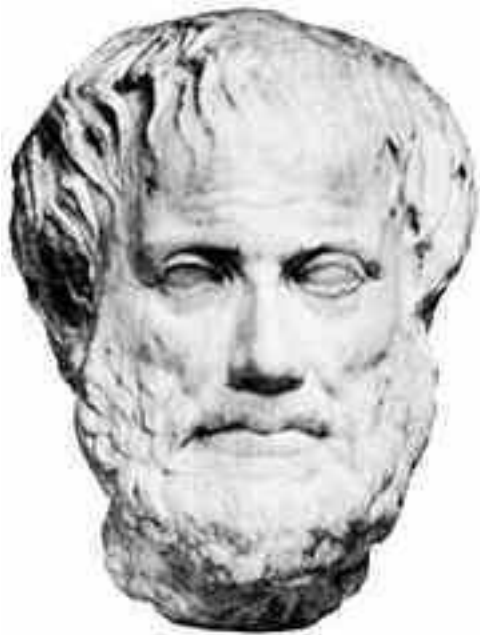


Aristotle

384 – 322 BCE

**Know
thyself!**

Intelligence



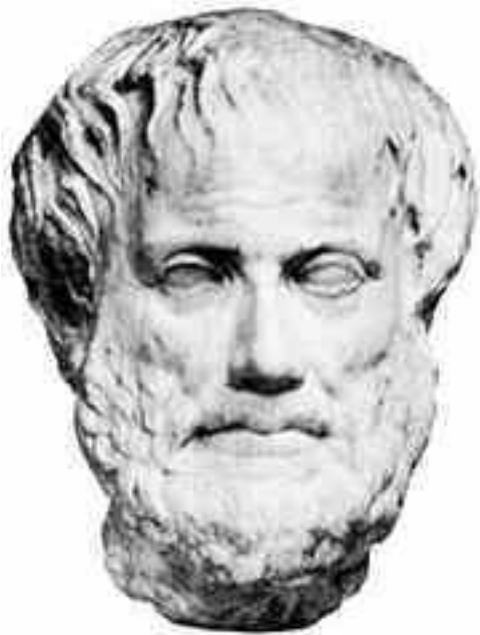
Aristotle

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3 types of intelligence

- ***Techne***: specific/expert knowledge
- ***Sophia***: theoretical wisdom acquired by pure study/learning
- ***Phronesis***: practical wisdom, practical judgment, prudence

Intelligence



Aristotle

384 – 322 BCE

3 types of intelligence

- ***Techne***: knowledge
Purely computational
(what computers do)
- ***Sophia***: theoretical knowledge
Non-computational
(What computers don't)
- ***Phronesis***: practical wisdom, prudence
What computers should do

Phronesis

- **good judgment**, knowledge on how to express oneself, towards whom to express oneself, in which situations, and when it is appropriate to express oneself.

Phronesis

- **good judgment**, knowledge on how to express oneself, towards whom to express oneself, in which situations, and when it is appropriate to express oneself.

And with regards to emotions...

Phronesis

- **good judgment**, knowledge on how to express **ones emotions**, towards whom they ought to be expressed, in which situations, and when it is appropriate to express them.

Phronesis

Research questions

1. Who expresses the emotion?
2. Why they express the emotion?
3. Is the expression of emotion appropriate to the situation/context?
4. Is the degree of expression appropriate to the situation/context?
5. If the expression is not appropriate, what would be the appropriate one?

Phronesis

Tasks

1. Determination of emotion subject;
2. Determination of emotion object;
3. Verification of contextual appropriateness of emotions;
4. Verification of appropriateness of the degree/intensity of the expressed emotion;
5. Emotion-related behavioral pattern modeling.

Phronesis

Tasks

1. Determination of emotion subject;
 2. Determination of emotion object;
 3. Verification of contextual appropriateness of emotions;
 4. Verification of appropriateness of the degree/intensity of the expressed emotion;
 5. Emotion-related behavioral pattern modeling.
- We can do that!!**

Phronesis

Tasks

1. Determination of emotion subject;
 2. Determination of emotion object;
 3. ● **Combinations of those tasks give emotional strategies.**
 4. Verification of appropriateness of the degree of the emotion;
 5. Emotion-related behavioral pattern modeling.
- **Good strategies give emotional integrity.**

Computational Phronesis

What we have done already?

1. Emotion subject;
2. Emotion object;
3. Verification of contextual appropriateness of emotions;
4. Verification of degree appropriateness;
5. Emotion-related behavioral patterns.

Computational Phronesis

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1. - Dokoshi, H., Oyama, S., Kurihara, M., Ptaszynski, M., Rzepka, R., & Araki, K. (2011). Emotion estimation of actors and non-actors in text using web mining [in Japanese]. In Proceedings of the Hokkaido Symposium on Information Processing, (pp. 223-224).
2. - Ptaszynski, M., Dybala, P., Rzepka, R., Araki, K., & Momouchi, Y. (2012). Annotating affective information on 5.5 billion word corpus of Japanese blogs. In Proceedings of The Eighteenth Annual Meeting of The Association for Natural Language Processing (NLP-2012) (pp. 405-408).
- Ptaszynski, M., Rzepka, R., Araki, K., & Momouchi, Y. (2012). A robust ontology of emotion objects. In Proceedings of The Eighteenth Annual Meeting of The Association for Natural Language Processing (NLP-2012) (pp. 719-722).

Computational Phronesis

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- Ptaszynski, M., Dybala, P., Shi, W., Rzepka, R., & Araki, K. (2009). Shifting valence helps verify contextual appropriateness of emotions. In Working Notes of Twenty-first International Joint Conference on Artificial Intelligence (IJCAI-09), (pp. 19-21).
- Ptaszynski, M., Dybala, P., Shi, W., Rzepka, R., & Araki, K. (2009). Conscience of blogs: Verifying contextual appropriateness of emotions basing on blog contents. In Proceedings of the Fourth International Conference on Computational Intelligence (CI 2009) (pp. 1-6).
- Ptaszynski, M., Dybala, P., Shi, W., Rzepka, R., & Araki, K. (2010). Contextual affect analysis: A system for verification of emotion appropriateness supported with contextual valence shifters. International Journal of Biometrics, 2(2), 134-154. doi:10.1504/ IJBM.2010.031793.
- Michal Ptaszynski, Michal Mazur, Pawel Dybala, Rafal Rzepka, Kenji Araki and Yoshio Momouchi. (2013). Towards Computational Phronesis: Verifying Contextual Appropriateness of Emotions, International Journal of Distance Education Technologies (IJDET), Vol. 11, No. 2, pp. 16-47.

Context of Emotions

- Do we even need it? (And why?)

Context of Emotions

Typical errors of affect analysis systems.

- Facial expressions
- **Expression:**
Eyebrows together, mouth open, finger pointing at listener;
- **Output:**
User is *angry*;



Context of Emotions

- Facial expressions

- **Context:**

1. Praise

2. Warning

3. Anger

- **Assumption:**

User is *angry*;



Context of Emotions

- Facial expressions
- **Expression:**
User is crying
 - (presence of tears and facial expression);
- **Output:**
User is *sad*;



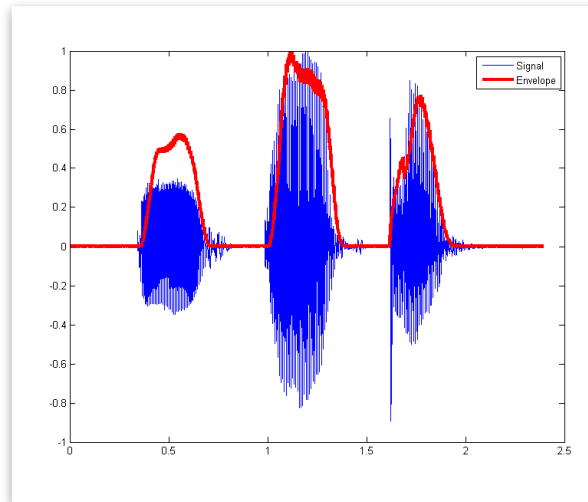
Context of Emotions

- Facial expressions
- **Expression:** The user is cutting an onion in the kitchen;
(presence of tears and facial expression);
- **Assumption:** User is *sad*;



Context of Emotions

- Speech signals
- **Expression:**
User speaks with a loud voice;
- **Output:**
User is angry;



Context of Emotions

- Speech signals
- **Context:** The user listening to the music with her headphones on
- **Example:** User speaks with a loud voice
- **Assumption:** User is angry and cannot hear well;



Context of Emotions

- Physiological signals
- **Expression:** User has a high blood pressure;
- **Output:** User is excited;



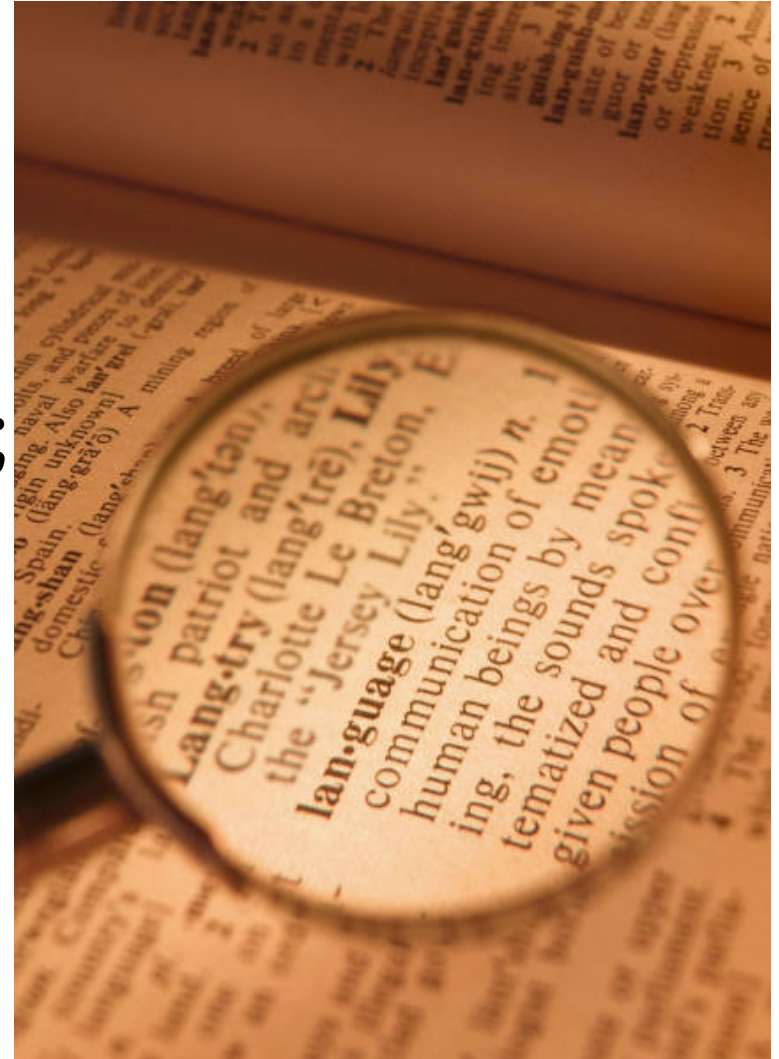
Context of Emotions

- Physiological signals
- Expression: User has a high blood pressure
- **Context: The user has a hypertension or arrhythmia;**
- Assumption: User is excited;



Context of Emotions

- Language
- **Expression:** User has used the word “happy”;
- **Output:**
User is happy;

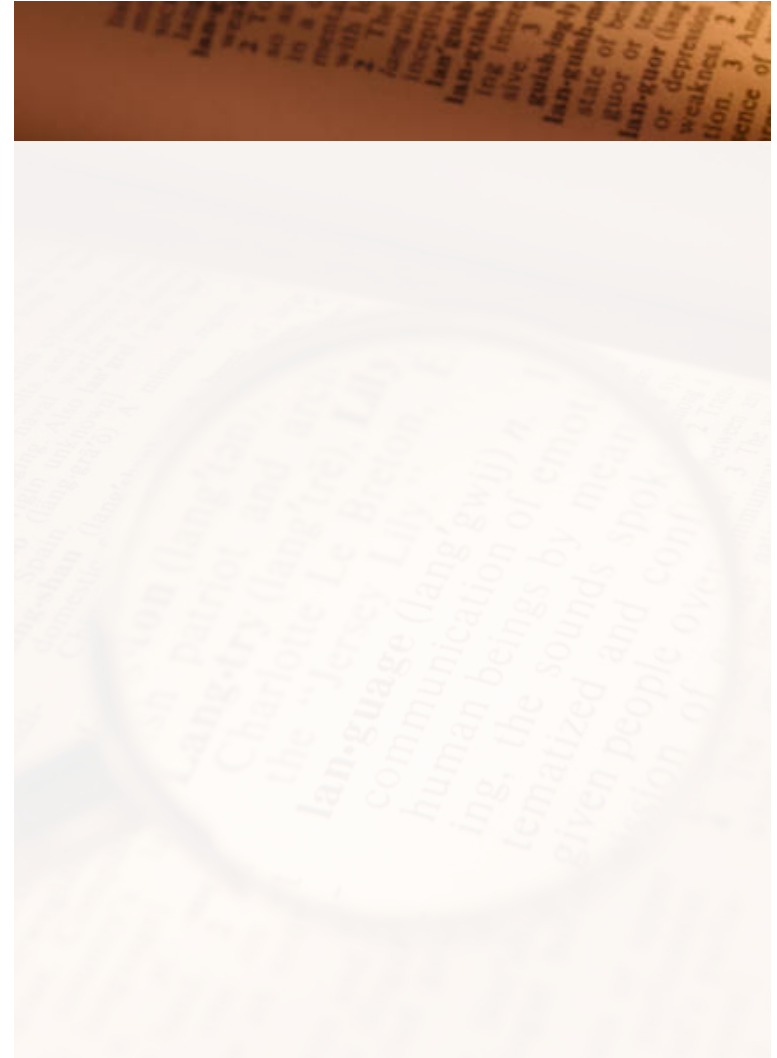


Context of Emotions

- Language

Context:

- Expression: User has used the word "happy";
1. "I'm not happy"
- Assumption:
User is happy;



Context of Emotions

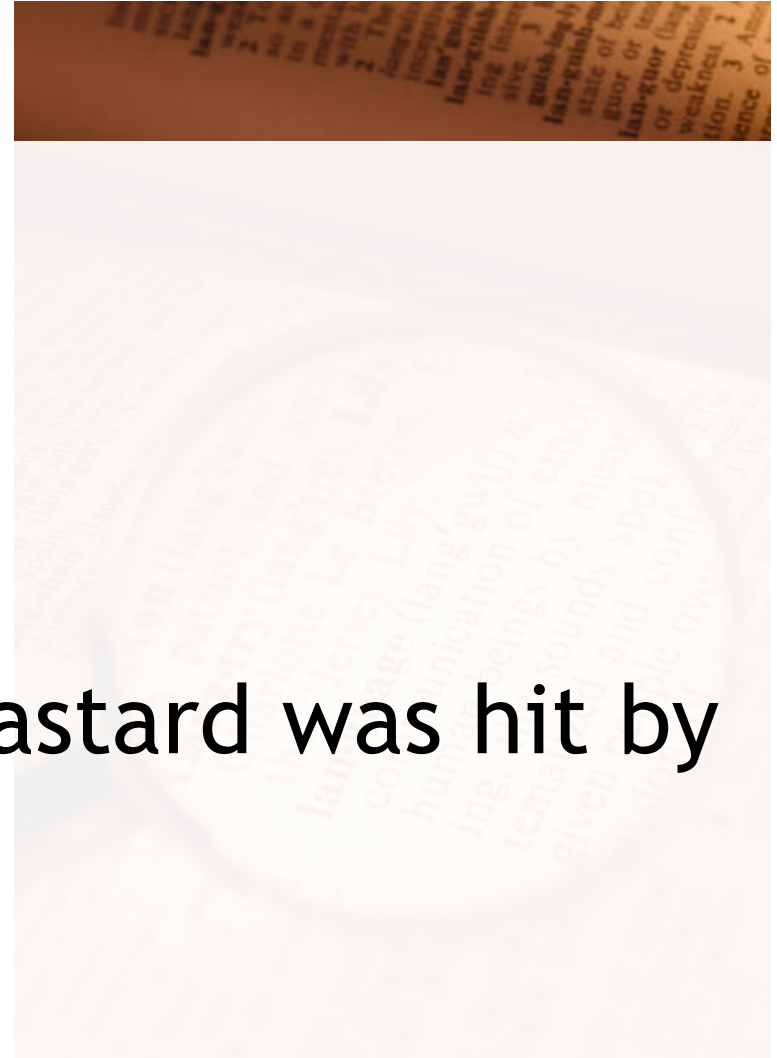
- Language

Context:

- Expression: User has used the word "happy";
1. “I’m not happy”

- Assumption: User is happy;

2. "I'm so happy that bastard was hit by a car!"



Contextual Appropriateness of Emotions

Contextual Appropriateness of Emotions

Typical affect analysis systems provide information on the expression of emotion in utterance.

- “Oh, I’m so happy!” [joy, happiness]
- “Oh, I’m so depressed...” [depression]

Contextual Appropriateness of Emotions

As long as the sentence is not too sophisticated, it's ok.

- “Oh, I’m so happy (because) I passed the exam!”
[joy, happiness]
- “Oh, I’m is so depressed (because) my girlfriend left...”
[depression]





Contextual Appropriateness of Emotions

When the context gets convoluted it gets messy.

- “Oh, I’m so happy (because) I passed the exam!”
[joy, happiness]
- “Oh, I’m so happy (because) that bastard was hit by a car!”
[joy, happiness]
- “Oh, I’m is so depressed (because) my girlfriend left...”
[depression]
- “Oh, I’m so depressed (because) the Valentine’s Day is coming...”
[depression]

Contextual Appropriateness of Emotions

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[joy, happiness] 
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[depression] 
- “Oh, I’m so depressed (because) the Valentine’s Day is coming...”
[depression] 

Contextual Appropriateness of Emotions

Lets take a closer look at how context is realized in emotional sentences.

– 試験に合格してうれしい！

Appropriate

[joy, happiness]

“Oh, I’m so happy (because) I passed the exam!”

[Expression of emotion] [causal form] [**cause of emotion**]

cause of emotion = context of expression of emotion

makes the expression either **Appropriate** or **Inappropriate**

– バレンタイン・デーが来るから悲しいね...

[depre

Inappropriate

“Oh, I’m so depressed (because) the Valentine’s Day is coming...”

Contextual Appropriateness of Emotions

- “Oh, I’m so happy (because) I passed the exam!” [joy, happiness],
試験に合格してうれしい！

Appropriate

[Expression of emotion] [causal form] [cause of emotion]

cause of emotion = context of expression of emotion

makes the expression either Appropriate or Inappropriate

Original utterance	<i>Aa, pasokon ga kowarete shimatta...</i> (Oh no, the PC has broken...)					
longest n-gram (here: hexagram)	<i>Aa</i>	<i>pasokon</i>	<i>ga</i>	<i>kowareru</i>	<i>te</i>	<i>shimau</i>
	[interjection]	[noun]	[particle]	[verb]	[verb connector]	[perfect form]
pentagram	<i>pasokon ga koware te shimau</i>					
tetragram	<i>Aa, pasokon ga kowareru</i>					
trigrams	<i>pasokon ga kowareru</i>			<i>koware te shimau</i>		

Contextual Appropriateness of Emotions

"...(because) I passed the exam!"

"I'm so **happy**..."

"I'm so **glad**..."

"He looked so **happy**..."

"My mom was so **happy**..."

emotive
expression
DB

WEB
MINING

Appropriate

[I passed the exam, happiness],

[expression of emotion] [causal form] [cause of emotion]

cause of emotion = context of expression of emotion

Original

n-gram

pasokon ga koware te shimau

/ -te /

*pasokon ga koware te shima-**tte***

/ -to /

*pasokon ga koware te shimau**to***

/ -node /

*pasokon ga koware te shimau**node***

/ -kara /

*pasokon ga koware te shimau**kara***

...

...

n-gram phrase ad-
justing -
(morpheme modifi-
cation)

Appropriate

or

Inappropriate

[depression]

**morphemes of
causality:**
-te, -to, -node,
-kara, -tara

Contextual Appropriateness of Emotions

"...(because) I passed the exam!"

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emotive
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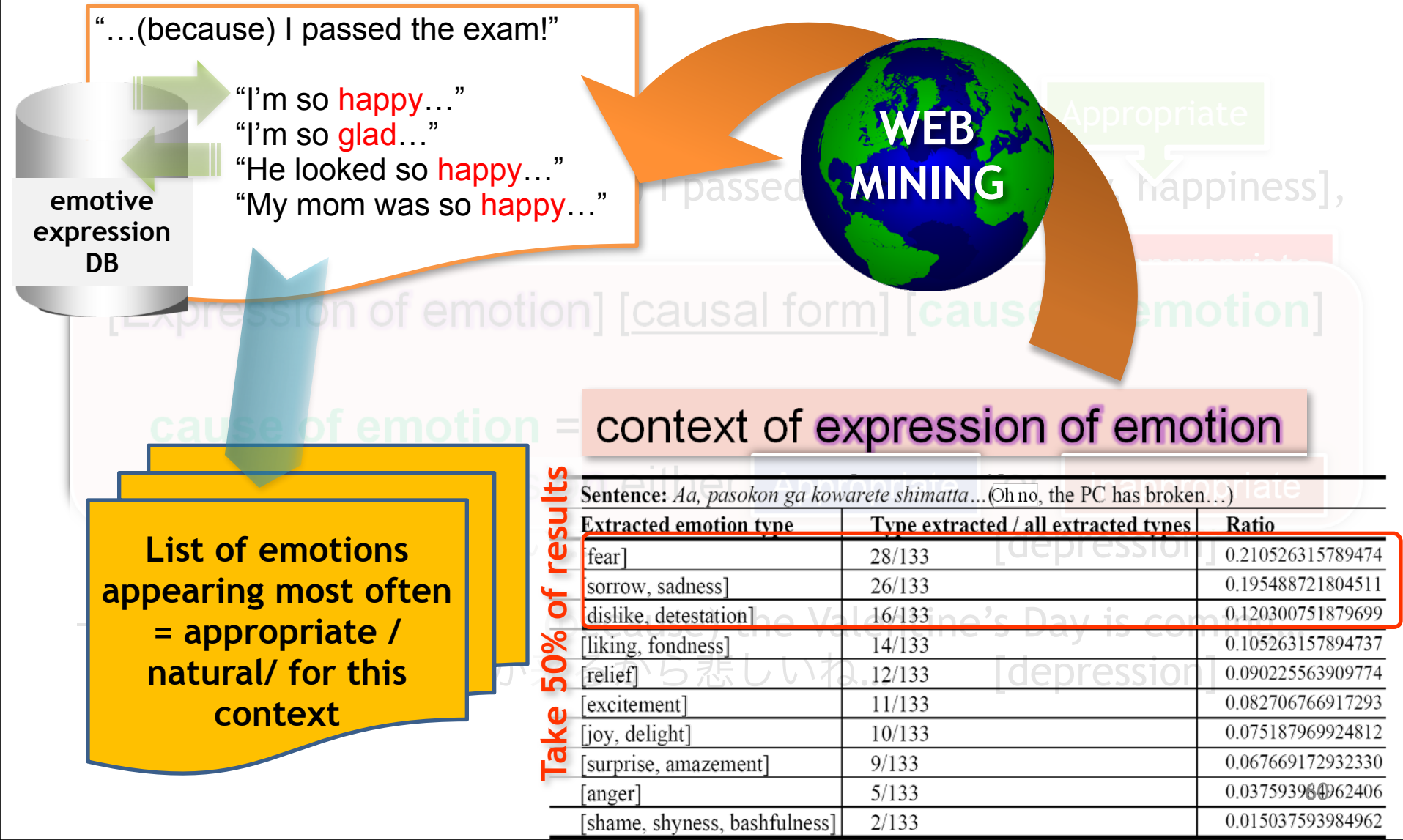
context of expression of emotion

List of emotions
appearing most often
= appropriate /
natural/ for this
context

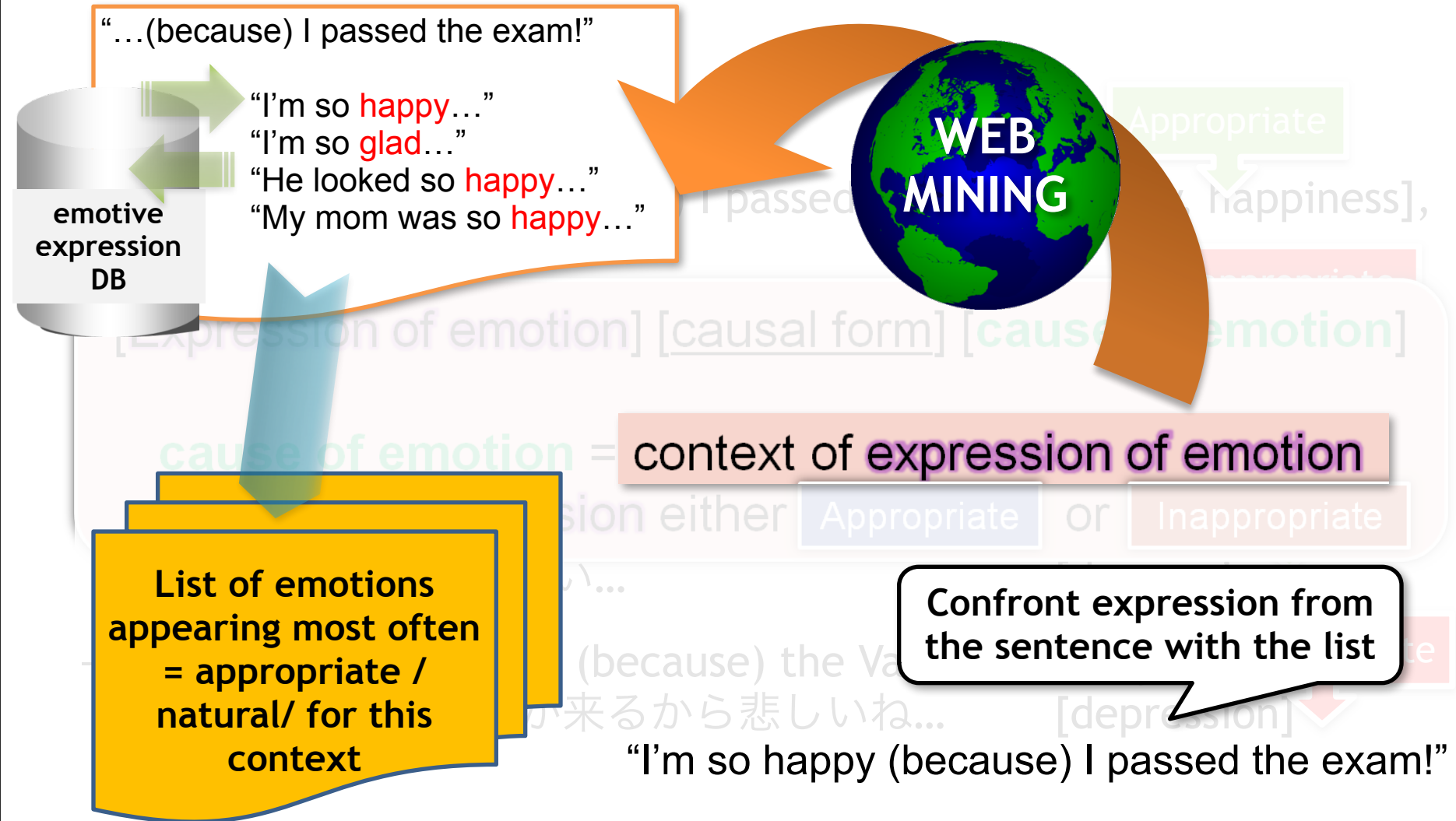
Sentence: *Aa, pasokon ga kowarete shimatta...* (Oh no, the PC has broken...)

Extracted emotion type	Type extracted / all extracted types	Ratio
[fear]	28/133	0.210526315789474
[sorrow, sadness]	26/133	0.195488721804511
[dislike, detestation]	16/133	0.120300751879699
[liking, fondness]	14/133	0.105263157894737
[relief]	12/133	0.090225563909774
[excitement]	11/133	0.082706766917293
[joy, delight]	10/133	0.075187969924812
[surprise, amazement]	9/133	0.067669172932330
[anger]	5/133	0.037593984962406
[shame, shyness, bashfulness]	2/133	0.015037593984962

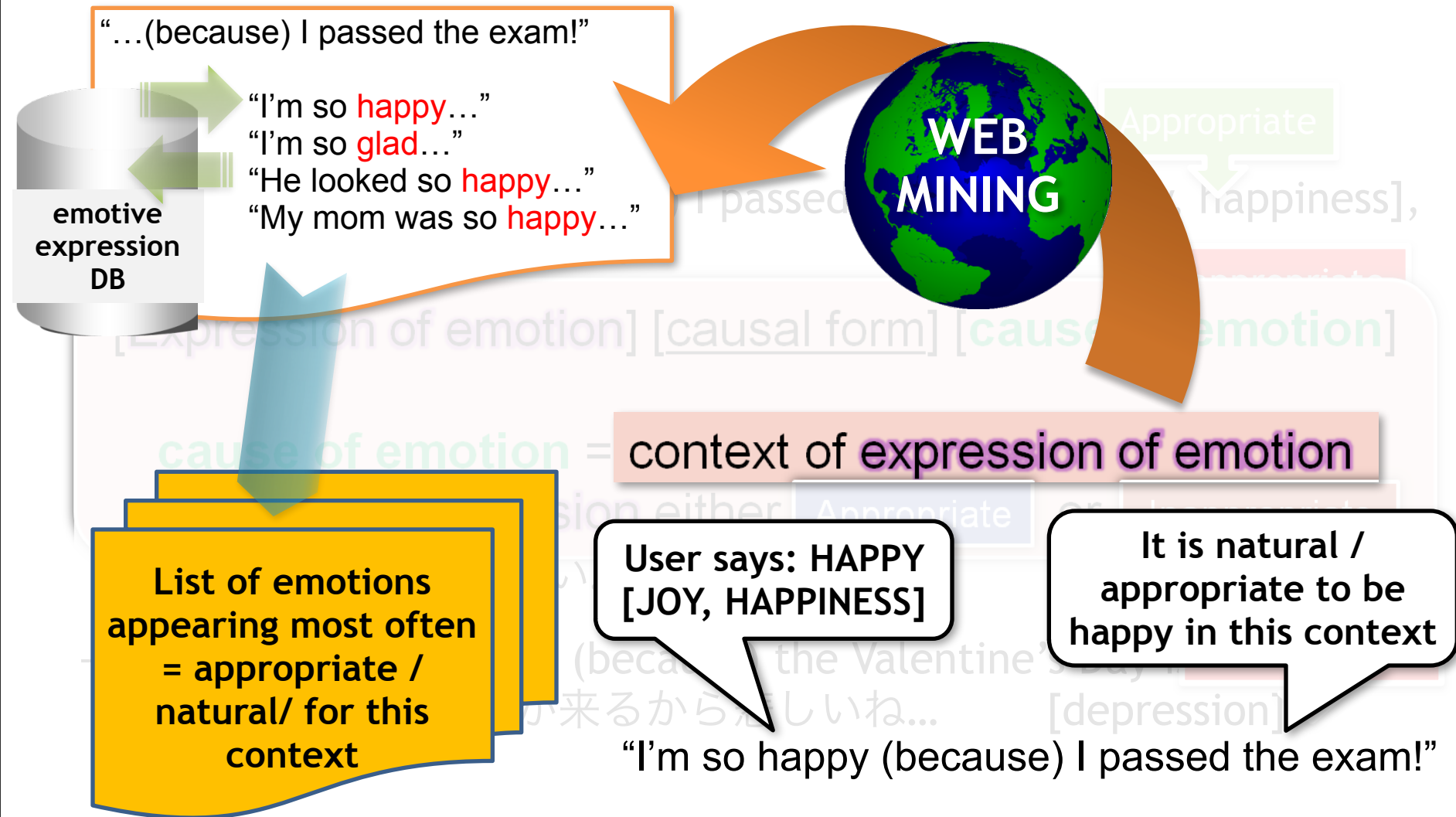
Contextual Appropriateness of Emotions







Contextual Appropriateness of Emotions



Contextual Appropriateness of Emotions

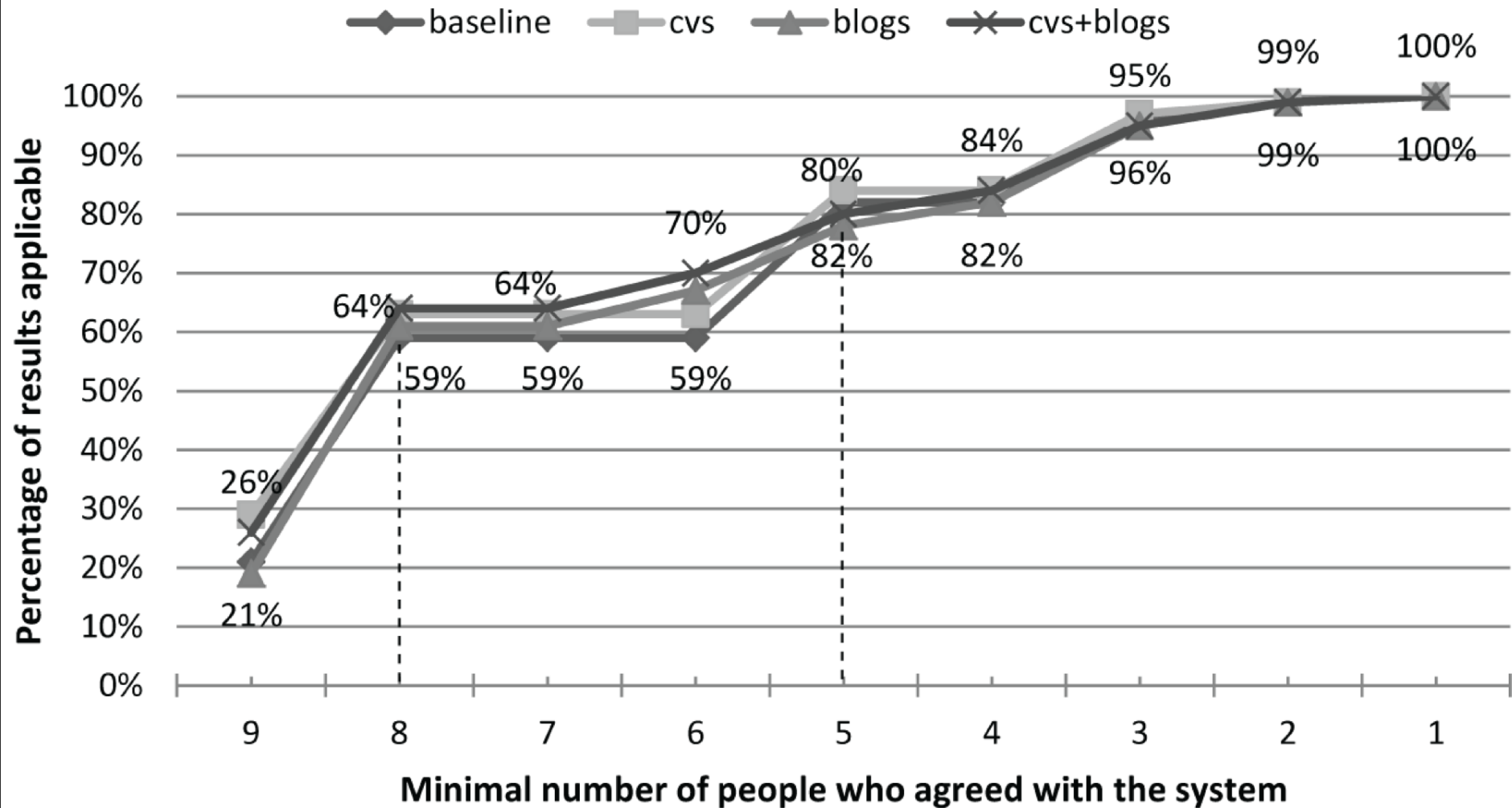


Contextual Appropriateness of Emotions

	Negative	Positive
Appropriate	<p>User: I hate him for making a fool of me in front of everyone. ML-Ask:dislike; Web mining:anger, dislike Agent: Yeah, you have a reason to be angry. [empathy]</p> 	<p>User: I'm so happy I passed the exam! ML-Ask:joy; Web mining:joy, excitement Agent: Yeah! That's great! [sympathy]</p> 
Inappropriate	<p>User: I'm so depressed because St. Valentines day is coming. ML-Ask:dislike, depression; Web mining:excitement, joy Agent: You should be happy! [consolation]</p> 	<p>User: I'd be happy if that bastard was hit by a car! ML-Ask:joy; Web mining:fear, sadness Agent: Are you sure this is what you really feel? [counsel]</p> 

Every emotion can be either appropriate or not

Contextual Appropriateness of Emotions



Versions of the System	Baseline vs CVS	Baseline vs Blogs	CVS vs CVS+Blogs	Baseline vs CVS+Blogs
Statistical Significance (p Value)	0.1599 (p>5%)	0.0274 (p<5%)	0.0274 (p<5%)	0.0119 (p<5%)

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- Ability to use emotional states to facilitate problem solving and creativity.

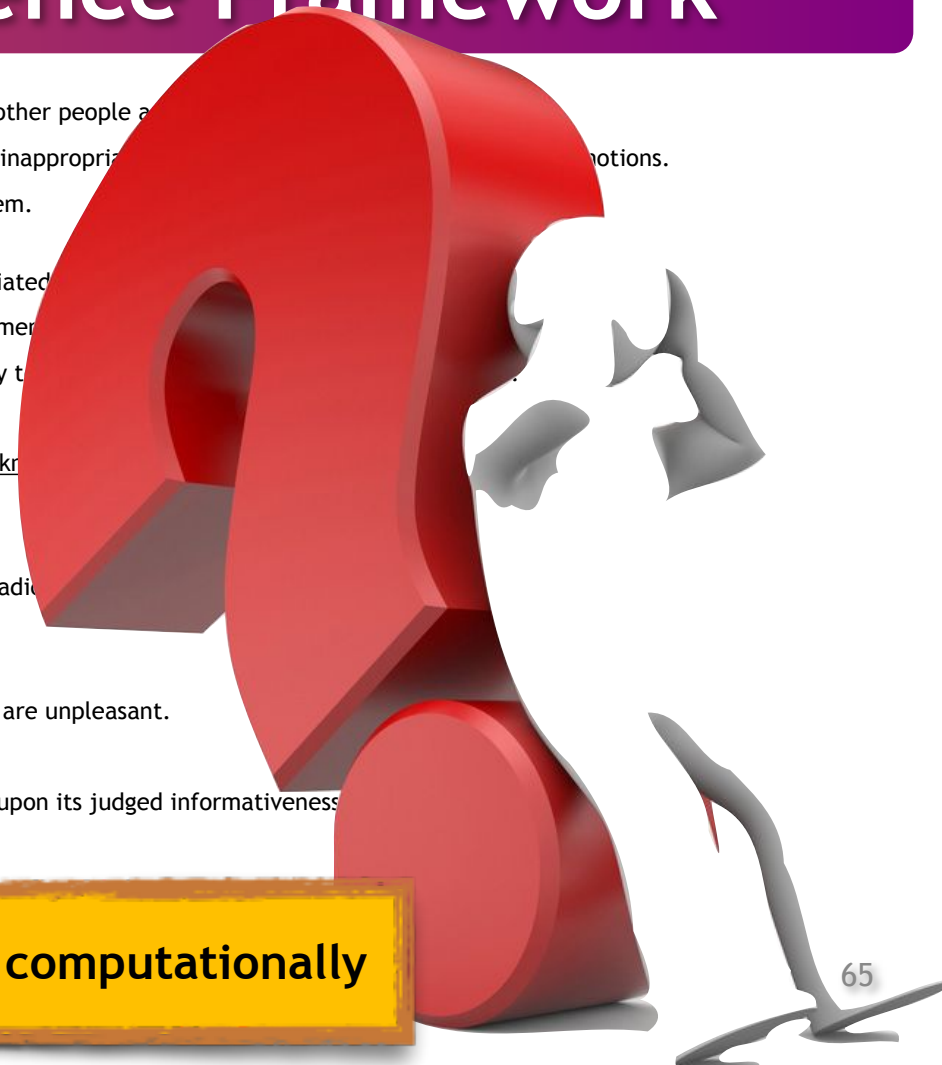
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- Ability to understand how different emotions are related.
- Ability to perceive the causes and consequences of emotions.
- Ability to interpret complex emotions, such as emotional blends and contradictions.
- Ability to understand and predict likely transitions between emotions.

IV Regulation of emotion

- Ability to be open to feelings, both those that are pleasant and those that are unpleasant.
- Ability to monitor and reflect on emotions.
- Ability to engage, prolong, or detach from an emotional state, depending upon its judged informativeness.
- Ability to **manage emotion in oneself and others**.

The abilities are difficult to formalize computationally



Contextual Appropriateness of Emotions

Emotional Intelligence Framework

I Perception, appraisal, and expression of emotion

- Ability to **recognize emotion** in one's physical and psychological states, in other people and objects.
- Ability to **discriminate between** accurate and inaccurate, **appropriate and inappropriate**, honest and dishonest, **expressions of emotions**.
- Ability to express emotions accurately, and to express needs related to them.

II Emotional facilitation of thinking

- Ability to redirect and prioritize one's thinking based on the feelings associated with it.

**discriminate between [...]
appropriate and inappropriate
[...] expressions of emotions**

**We've done only a half-step further
in Emotional Intelligence...**

~~Ability to perceive the causes and consequences of emotions.~~

- Ability to interpret complex emotions, such as emotional blends and contradictory feeling states.
- Ability to understand and predict likely transitions between emotions.

IV Regulation of emotion

- Ability to be open to feelings, both those that are pleasant and those that are unpleasant.
- Ability to monitor and reflect on emotions.
- Ability to engage, prolong, or detach from an emotional state, depending upon its judged informativeness or utility.
- Ability to **manage emotion in oneself and others**.

John D. Mayer and Peter Salovey. *What is emotional intelligence?*, Emotional Development and Emotional Intelligence:3-31, 1997.

Computational Phronesis


What we have done already?

1. emotion subject;
2. emotion object;
3. Verification of contextual appropriateness of emotions;
4. Verification of degree appropriateness;
5. behavioral pattern (reaction)

Computational Phronesis

What we have done already?

1. emotion subject;
2. emotion object;
3. Verification of contextual appropriateness of emotions;



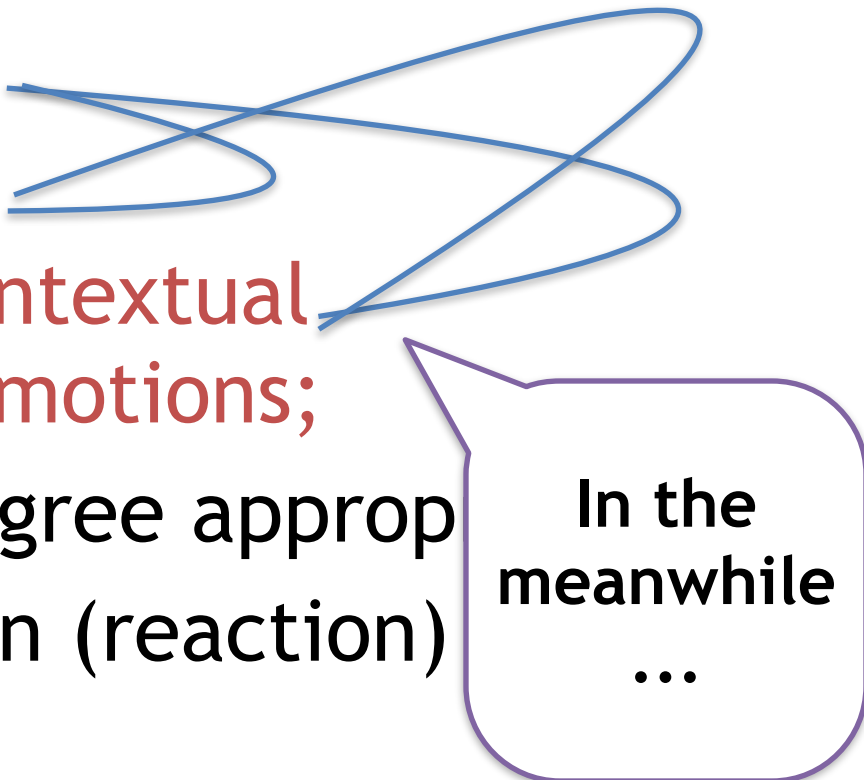
That's
what we
still have to
do...

4. Verification of degree appropriateness;
5. behavioral pattern (reaction)

Computational Phronesis

What we have done already?

1. emotion subject;
2. emotion object;
3. Verification of contextual appropriateness of emotions;
4. Verification of degree approp
5. behavioral pattern (reaction)



In the
meanwhile
...

Computational Phronesis

What we have done already?

1. emotion subject;
2. emotion object;
3. Verification of contextual appropriateness of emotions;
4. Verification of degree of appropriateness;
5. behavioral pattern (reaction)

**A long way toward
machine emotional
integrity...**

In the
meanwhile
...

Computational Phronesis

What we have done already?

1. emotion subject;

2. emotion object;

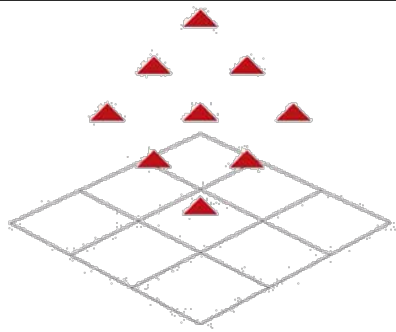
3. Verification of contextual appropriateness of emotions;

4. Verification of degree appropriateness;

5. behavioral pattern (reaction)

**But it seems at last
we know the direction.**

In the
meanwhile
...



Thank you for your attention!



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