# Evaluation of a Standalone Language-independent Dialogue Framework

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Abstract—This paper presents an innovative dialogue agent designed for textual casual chatting which can handle any language. The system acquires knowledge from a non-annotated corpus and then represents all the language aspects into a graph. Using previously acquired knowledge it splits sentences into subnodes to proceed to a flexible output generation. Moreover, it uses graph clustering to generate node categories without using any grammar-related tags and uses these categories to induce new knowledge. The system uses the same processing regardless of the language, that makes the system able to handle any language without any adaptation task. In addition, since the system uses only a limited number of resources, it can be set up as a standalone system in order to preserve the user privacy. We carried out dialogue correctness experimentation in Chinese, English and Japanese and got results comparable to a more language specific multilingual system.

Keywords—natural language processing, multilingual system, spoken dialogue agent, real-time, graph clustering

# I. INTRODUCTION

Nowadays, a lot of spoken dialogue agents have been proposed such as ALICE [1] or are still under development. Some of them focus on non-task-oriented dialogues, while others focus on providing information or achieving a particular task. In this paper, we focus on non-task-oriented dialogues because we consider it as the first step to build a complete system which at the end may be able to handle both task and non-task oriented dialogues at the same time.

Many non-task-oriented dialogues systems have already been proposed. As research progresses and systems improve, spoken dialogue agents are able to handle more and more situations, like Multimodal Multi-domain Spoken Dialogue System [2], for example. However, to reach this objective they use many high-level operations such as word categorization or case grammar. Consequently, to handle these complicated processes most of systems require very language specific resources such as dictionaries or grammatically tagged corpuses. For example, many systems work only in a specific language such as Japanese [3]. These kinds of systems cannot be easily adapted to another language without a lot of work. A solution would be to create a multi-lingual model which handles all the languages [4]. However, this is a hard task since each language has some specific aspects which are not used in other languages. Nevertheless, it is possible to try to implement the most common behaviors to cover a maximum of languages. However, the result will be incomplete and not optimal for each specific language. That is why we opt for implementing only very basic processes used universally in all the languages.

In this paper, we propose a framework which has been developed with the aim to handle any language and which consequently uses no language-specific resources to keep a maximal generality. For example, the system must be able to handle a newly constructed language using only some samples of this language. In addition, the proposed framework includes no copyright covered elements and in consequence can be easily implemented and adapted in various environments. Moreover, it can be considered as a base framework for a system focusing any specific language.

However, in order to check our algorithm before adding new processing we focus on very simple dialogues. We will improve the system in future, for example we will increase the speed of the output generation to be able to handle more knowledge.

Developing an algorithm that is not dependent of the language is a complicated task and the results may not be better than the best current language-specific systems. However, it can be useful to achieve many different objectives such as those noticed below.

- Handling and acquiring the meaning of new terms, such as words used by young people.
- Minorities' language support, language for which specific natural language tools are not available.
- Foreign languages learning using casual dialogue as training.

Moreover, since the system uses no external tools, it can be easily distributed or installed on a mobile device and works without any network connection as a standalone system. In consequence, it can also provide a full privacy protection to the user.

In addition, the system could be setup to handle non-verbal input such as sign language too. Since the system can handle any kind of input such as gestures, specials tags or texts, it can be considered naturally multimodal.

## II. OUTLINE

The proposed system uses graph traversal to generate and select the optimum responses to the user's inputs. In consequence, the system is composed of two main parts, the graph construction which replaces the use of external resources and the graph parsing.

In order to handle more complex dialogues, we will need to improve the first phase to, for example automatically acquire knowledge in a similar way as did in a lexical database such as WordNet [5].

We explain these two parts in the next sections.

# A. Graph representation

All the acquired knowledge is represented in a graph like on Figure 1 using nodes and directed links. The system generates many different links, however to keep the figure easily readable we only represent some of them.

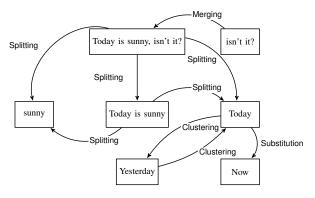


Fig. 1. A simple dialogue graph

# B. Basic links

We used only basic links which are necessary for the output generation [6].

- 1) Splitting: A splitting link is generated between a node and its sub-node, we call here sub-node a node whose value is contained in another node value. For example, the node "I like pear" contains the node "I like" and is in consequence related to it.
- 2) Merging: A merging link is the opposite of a splitting link. It is set between a node and a super-node. For example, there is a merging link between "How" and "How are you?".

Concretely, a *merging link* is set between a sentence's part sub-node and all the complete sentences which include this sub-node. Using these links, the system can retrieve complete sentences which are eligible for output.

3) Substitution: A substitution link is provided between a node A and a node B, if the node B can be used instead of the node A in the output. This substitution can be considered as a similar process as association in psychology [7].

For example, when the user inputs "Hello", the system can answer "Hello", "How are you?" or "How do you feel?". In consequence, they are *substitution links* from "Hello" to "How are you?" and to the other possible responses.

However, if the input is "How are you?" the system may reply "I am fine" and "I am tired" at the same time, that would not be a coherent behavior. To avoid this kind of unexpected comportment it is possible in future version of the system to implement emotional concepts; the node "I am fine" can be connected to a good emotion, i.e. a node representing this emotion and the node "I am tired" to a bad one, then the system can be set up to output only nodes related to the same emotion when the user inputs a question. These emotional nodes are not related to the language since the same basic emotions are used by all the humans [8].

4) Clustering: The system uses the MaxMax algorithm [9] to create nodes clusters and generate cluster links in order to be able to generate more various responses to the user's input. The MaxMax algorithm has been made to suit tasks such as the Word Sense Induction (WSI). It is a non-parametrized and graph applicable algorithm which is very easy to implement. However, other clustering algorithms working on graph can be easily adapted to be used in the system.

Concretely, for example, "apple" and "orange" can be related by a *cluster link*. The system tries to replace the nodes of the sentences by other nodes from the same cluster to generate a new sentence. If "apple" and "orange" are in the same cluster and if the system learns the sentence "I eat apple", then it will generate the new sentence "I eat orange".

## C. Nodes generation

The system uses training samples (cf. II-G) to generate nodes in the graph before the dialogue starts. Firstly, each sentence of the samples is converted into a node called *input node*. For example, the sentences "Hello" and "How are you?" are converted in two distinct nodes. Then, the system proceeds to the sub-nodes generation.

1) Sub-nodes generation: In language processing one of the most common tasks is to identify words present in a sentence. However, in the context of a multilingual system we cannot use a morphological analysis tools such as Juman [10] which are only available in specific languages such as Japanese.

A solution would be to use an unsupervised word segmentation [11]. However, we need a real-time and fast adaptive algorithm. In consequence, we had to develop our own algorithm to identify parts of the sentences. That is why we simply use already existing nodes to try to split new ones. For example, the system uses the node "I like" to split the node "I like peach" in "I like" and " peach".

The generated sub-nodes can represent several words like "like peach", as well as a single word like "peach" or a part of a word like "ach".

This method will generate a lot of noise, i.e. a lot of nodes which are not useful for the output generation, as well as useful ones. However as proved for the stochastic resonance [12], it could also help the system to generate many correct and useful responses. Concretely, the system may visit many not useful nodes which will not be used to generate the output of the system because they are regularly related to all the other nodes. In consequence, their influence on the choice of the output is limited.

#### D. Links' characteristics

Each kind of link between nodes has its own characteristics. They are used during the graph traversal to calculate the node's score and the link's cost.

- **Node score** denotes the importance of a node.
- Link cost is how much power is needed to take the link and go to the pointed node. This value is used to limit the graph traversal.

All the links which have a link cost exceeding a defined value<sup>1</sup> are ignored by the system.

Each kind of link has the 3 below characteristics.

- Weight denotes the value of the linked node; links which bring a lot of information such as a substitution link have a high value.
- **Distance** denotes the information difference. Splitting links only remove a part of the information; in consequence their distance is small.
- **Base cost** is used to calculate the cost of the link.

Changing these characteristics will change the system comportment. For example, we can make the system generates more sentences<sup>2</sup>, but which are not all correct or make the system have a more careful behavior<sup>3</sup> and only output sentences which are surely correct.

We use Equation (1) to calculate the node score, the weight and distance is calculated summing all the values of the links used to arrive to this node from the user's input.

We use the exponential function, to limit the number of parsed nodes. For example, we want to avoid a path which uses many small distance links.

$$S_n = \frac{\sum weight}{e^{\sum distance}} \tag{1}$$

•  $S_n$  is the score of a specific node.

In addition, we use Equation (2) to calculate the link cost.

We use the number of links to decrease value of very frequent nodes in a similar way as the tf-idf method [13]. This is often the case of nodes resulting from the noise of the splitting algorithm. In addition, we use the logarithm to reduce the difference between two nodes which have just a small difference of number of links, and consequently can be considered similar.

$$C_l = c \times (1 + log(n_{link})) \tag{2}$$

- $C_l$  is the cost of the link.
- c is the base cost of the target link type.
- $n_{link}$  is the number of links of the corresponding type from the same node.

The clustering links are used to create new nodes, but they are not used during the graph traversal.

Table I contains the empirically<sup>4</sup> defined values for each type of link.

TABLE I. LINKS' CHARACTERISTIC

Link	Cost	Distance	Weight
Splitting	1.5	0.75	2
Merging	0.99	1	3
Substitution	2.5	2	5

## E. Output generation

As shown on Figure 2, the system checks each input node of the graph to look for all the nodes which match, include or are included in the user input<sup>5</sup>; all the matching nodes' score is increased.

```
ALGORITHM visitingGraph(input)

FOR EACH inputNode OF inputNodes

IF inputNode = input

inputNode.increaseScore()

folowLink(inputNode, 0)

ELSE IF inputNode contains input

inputNode.increaseScore()

folowLink(inputNode, 0)

ELSE IF input contains inputNode

inputNode.increaseScore()

folowLink(inputNode, 0)
```

Fig. 2. The algorithm used to find node related to the input

Then, as shown on Figure 3, using previously acquired links, the score of all the nodes which are related to a matching input node will be increases too in function of their links' characteristics. All the nodes will be visited until the link cost exceeds a defined value. The link cost of each link is added to the previous link cost, in consequence the cost used in the comparison grows each time the system follows a link.

```
ALGORITHM folowLink(node, currentCost)

FOR EACH link ELEMENT OF node.links()

cost:=currentCost + link.cost()

IF cost < MAXCOST

linkedNode:= link.getNode()

linkedNode.updateScore()

folowLink(linkedNode, cost)
```

Fig. 3. The algorithm used for the graph traversal

Finally, the node which has the best score and whose score exceeds the trigger value (cf. II-E1) is selected and output to the user.

For example, if the input sentence is "I like making cookies", nodes "I like" and "cookies" are included in it and their score will be increased. Both of them are related to the

<sup>&</sup>lt;sup>1</sup>The max cost is arbitrarily set to 5.

<sup>&</sup>lt;sup>2</sup>Decrease the distance value or the base cost

<sup>&</sup>lt;sup>3</sup>Increase the base cost

<sup>&</sup>lt;sup>4</sup>Heuristic trial and error method.

<sup>&</sup>lt;sup>5</sup>For example, the input "I like eating" includes "eating" and is included in "I like chocolate", in consequence these two nodes' score are increased.

node "I like eating cookies" by a merging link and its score will be increased too. If the score of the node exceeds the trigger value, the system will output "I like eating cookies".

If no node's score exceeds the trigger value after all the graph has been traversed the system will output an apology sentence such as "I am sorry, I cannot reply".

1) The output trigger value: In aim to create a real-time system, like a human the system has to reply in a minimum of time, but with a maximum of pertinence, i.e. the best possible response. To produce this kind of behavior the system uses a dynamic trigger, which value decrease in function of the time spent, using Equation 3.

$$V_t = V_i - t \times k \tag{3}$$

- $V_t$  is the value of the trigger at t.
- $V_i$  is the initial value.
- t is the time since the initial value.
- k is a defined coefficient.

This equation makes the trigger value decrease continuously using a single parameter that is empirically set.

The system periodically checks if a node score exceed the trigger value. Then, it will output the node which has the higher score to the user. After each iteration, the score of the outputted node is set to 0 and the score of all the remaining nodes is decreased.

Figure 4 shows an example of the trigger evolution.

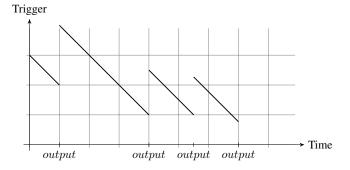


Fig. 4. Example of the trigger evolution

After the system selected an output, the trigger value is reinitialized. This new value is calculated using Equation 4.

$$V_i = (\sum_{k=i-5}^{i-1} S_k)/5 \times 2 \tag{4}$$

- $S_k$  is the score of the output k.
- *i* is the output number.

Concretely, the system uses the mean of the last five outputs' score corresponding to the output node score to calculate the new trigger value. This method lets the system adapt to the nodes score automatically.

2) Example of output generation: Using the graph of the Figure 5 the system can generate several responses.

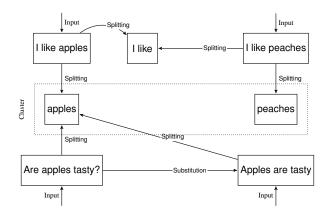


Fig. 5. Example of graph used to generate an output to the user

We listed some of these possible responses below.

- If the input is "Are apples tasty?" the system can directly output "Apples are tasty".
- If the input is "I like apples?" the node "I like apples" will be selected as output, since it is included in the input.
- If the input is "Are peaches tasty?" the system can use the cluster link and output "Peaches are tasty".

The system will output all the nodes which are complete sentence and which score exceed the trigger value, for one input several outputs are possible.

In addition, a dialogue is a real-time process [14], to make the system enable to receive inputs at any time we implement each operation in a different thread executed in parallel. Since, there are no blocking operations, the system can continue to receive inputs while it is generating an output. Moreover, the new inputs will influence the current output generation.

## F. Example of mistakes' handling

Using the previously explained links the system is able to handle some simple input mistakes such as misspelling. Concretely, the input string is cut in many different substrings, some of these substrings contain the error, but the others are correct and can be used to retrieve a correct string. Figure 6 is an example of mistake that the system is able to handle.

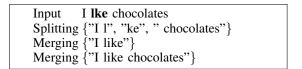


Fig. 6. Example of misspelling handling

In the example, the substring "I I" and "ke" are related to the same string "I like" by a merging link, since they are contained in it, in consequence the score of the node "I like" increase too. Then, because both "I like" and " chocolate"

<sup>&</sup>lt;sup>6</sup>Apology sentences are present in the training samples (cf. II-G).

point to the node "I like chocolates", this node score is also increased.

It is also important to note that this kind of mistake appeared in general when the user uses a keyboard and not a voice input interface.

# G. Training samples

To generate the graph, the system uses two kinds of basic resources, which contain no grammar information and need no complex creation processes. They can be, for example extracted from a dialogue between two humans or from any kind of text such as books or screenplays.

Compared to a common system based on AIML [15] corpus, the presented system corpus contains no tags and all the rules are automatically acquired from the samples. For example, wild-cards which are often present in corpus-based chat-bots are no present in the samples. They have to be statistically induced<sup>7</sup> by the system.

1) Dialogue samples: The dialogue samples contain some very simple dialogues such as on Figure 7, used to acquire substitution and splitting links in the target language. Concretely, a substitution link is set between an utterance and its response and between all the sub-nodes of the utterance and all the nodes of the response which are not present in the utterance.

U1: what do you drink? U2: I drink milk

Fig. 7. Example of a dialogue sample

2) Knowledge samples: The knowledge samples are just a list of simple sentences such as "I like ice-cream" or "The president of the USA is Obama".

The knowledge samples are used to increase the possible outputs of the system. These samples are easier to be collected, since they consist of a list of simple not contextually related sentences. They can be collected from a text such a Wikipedia article or from users' dialogues.

# III. EXPERIMENTS

We use the same protocol as the evaluation of generality of SeGA-ILSD [16]. However, for our system we do not use an ELIZA type system to generate a part of the responses. We also do not use morphological analysis tools unlike the baseline system.

In order to fit the baseline experiment process we use a speech input tool. However, this kind of speech recognition tool uses a lot of language dependent resources and they are only provided for a limited number of languages. That is why for the experiment we only consider the speech recognition as an input tool which replace the keyboard and which is not a part of the presented system itself.

We use the Google speech recognition implemented in an Android<sup>8</sup> application to get the user's inputs and evaluations.

We asked subjects to evaluate each response of the system as below.

- Correct reply Meaning is correct, and expression is natural.
- Semi-correct reply Meaning is correct, but expression is not natural.
- Erroneous reply Meaning is not correct.

The aim of the evaluation is simply to check if the system responses is grammatically correct and is corresponding to the user input. Nevertheless, we asked the subjects to evaluate the system response as erroneous if the system does not reply to the input question. For example, if the input is "What will you do tomorrow?" and the response is "I don't know", it is considered as erroneous even if it is grammatically correct and if a human could reply like that.

## A. The baseline

We use the SeGA-ILSD system as baseline for this experimentation. This spoken dialogue system uses inductive learning method based on genetic algorithm with sexual selection. Concretely, it acquires rules automatically from pairs of an utterance and its associated reply and tries to crossover two rules to create a new one. Rules which generate erroneous outputs are progressively removed from the system by using the user feedback.

In order to crossover two rules, the system needs to identify each word of the sentences and in consequence it uses a morphological analysis tool<sup>9</sup>.

In addition, when no rules are found to reply to the input the system uses an ELIZA-like system to generate the output. The ELIZA-like system contains manually created rules which are different for each language.

Moreover, the baseline uses Microsoft Japanese recognizer (Version 6.1), Microsoft English Recognizer (Version 5.1) and Microsoft Simplified Chinese Recognizer (Version 5.1) as speech recognition tools<sup>10</sup>.

## B. Preparation of the experiments

We ask 3 native speakers of Chinese, English and Japanese to imagine each one a simple casual dialogue of about 40 sentences to create the dialogue samples.

The Japanese knowledge samples are directly extracted from our previous research, for this research we ask subjects to teach some common knowledge to train a spoken dialogue agent. The same samples are also manually translated into the two other languages by a native speaker.

For Chinese (Mandarin) we use simplified Chinese characters. We do not make any separation between different kinds of English. The used corpus can be considered as small, however in order to be able to compare the system comportment in the 3 languages we prefer to favor the corpus unity than the corpus size to carry out first experiments.

<sup>&</sup>lt;sup>7</sup>Words which have many substitution links can be considered as a kind of wild-card.

<sup>&</sup>lt;sup>8</sup>http://developer.android.com

<sup>&</sup>lt;sup>9</sup>JUMAN Version 5. for Japanese, Apple Pie Parser Version 5.9 [17] for English and ICTCLAS for Chinese [18]

<sup>&</sup>lt;sup>10</sup>The version 6.1 stems from Microsoft Office 2003 and the version 5.1 is extracted from the package Microsoft Speech SDK 5.1: http://www.microsoft.com/en-us/download/details.aspx?id=10121

1) Splitting parameter: To avoid the generation of too many nodes<sup>11</sup> in languages using Latin characters, we set a minimal character length of 3 to split a string in English.

We also do not use the sentence starting capital letters to increase the node matching rate. For example, in the sentences "Cats are cute" and "I like cats", "Cats" and "cats" are the same word, but they will be considered different words by the system because of the capital letter. However, we kept meaningful capital characters such as proper nouns capital letters.

It is important to notice that the knowledge required to know if a word needs a capital or not depends of the language. With a bigger corpus we think this task can be avoid without an important impact to the system since the number of nodes will be sufficient to split all the words with and without a capital letter.

Characters depend on the language, but they do not make the system language dependent. The user can input any character in the system; the output generation process will not be affected. For example, a word such as "t%&3=f" can be learnt by the system like all the other words.

## C. Experiments settings

Table II shows details about the subjects who participated in the evaluation of the proposed system.

TABLE II. SUBJECT'S INFORMATION

	Chinese	English	Japanese
Subject	7	4	13
Male	2	2	5
Female	5	2	8
Student	7	2	9
Worker	0	2	4
Age [year]	21.9	21.5	23.0

Table III summarizes some information about the system knowledge. The number of nodes is the number of nodes created before the user starts the dialogue. To count the number of words, we simply split words using space for English, for Japanese we used Juman morphological analysis tool [10], for Chinese we use the Google translator<sup>12</sup> words segmentation.

TABLE III. CORPUS' INFORMATION

Number	Chinese	English	Japanese
of nodes	1410	715	1623
of words	1246	1029	1268
of characters	1818	4853	2514
of sentences (knowledge)	100	100	100
of sentences (dialogues)	88	69	85

We can see that more a language has words more generated nodes are numerous. In addition, the number of nodes in the system is small. However, we think that bigger graph can be easily handling as well using parallel processing.

# D. Experiments results

1) Speech recognition precision: The speech recognition tool does not recognize punctuation, in consequence the subjects was not able to input point or question mark in their inputs.

The precision is calculated using Equation 5.

$$P = \frac{n_c}{n_c + n_i} \tag{5}$$

- P is the precision.
- $n_c$  is the number of correct recognitions.
- $n_i$  is the number of incorrect recognitions.

This formula is the same as used in the baseline. We prefer it to the word error rate (WER) value to be able to carry out correct comparison with the baseline.

Table IV shows the precision for each language.

TABLE IV. SPEECH RECOGNITION PRECISION

	Chinese	English	Japanese
Precision	93.4%	86.7%	89.3%

2) Responses evaluation: Figure 8 is an example of dialogue with the proposed system, the evaluation of the subject is present in parentheses. The sentence starting capital letters are added after the output generation.

User: What do you like?
System: I like studying (Correct reply)
System: Do you like studying? (Correct reply)

Fig. 8. Example of a dialogue with the system

The system firstly outputs "I like studying", then because the score of the nodes "studying" and "like" are high it also outputs the sentence "Do you like studying?". This comportment can generate natural dialogues as well as erroneous outputs. That is why we will try to enhance it in further research using more parameters such as emotions and context's nodes for the output generation.

Table V contains the experimentation results compared to the baseline [19]. For the baseline, the values in the parentheses correspond to the ELIZA-like outputs' evaluation results.

TABLE V. EXPERIMENTATION RESULTS [%]

Language	Correct	Semi-correct	Erron-eous
Chinese	25.9	17.0	57.1
English	39.1	14.2	46.7
Japanese	31.7	16.2	52.1
Baseline* (ELIZA's responses)			
Chinese	25.6 (16.0)	13.6 (30.4)	4.4 (10.0)
English	4.0 (8.4)	16.0 (53.2)	15.2 (3.2)
Japanese	14.6 (38.2)	2.5 (13.5)	8.9 (22.3)
* Results without the ELIZA's responses.			

However, both parts of the baseline are evaluated in a single run. ELIZA's responses are used when no other response is available.

<sup>&</sup>lt;sup>11</sup>A node for many short strings.

<sup>12</sup>https://translate.google.com/

## E. Results analysis

We can see that the results of the 3 languages are similar. In addition, they exceed the baseline's results if we exclude the ELIZA's responses. We consider ELIZA's responses as language dependent, since they are manually inserted in the system for each target language. The proposed system is able to answer most of the greetings and some questions of the user. It does not simply look for a matching rule, but it decomposes the input and analyzes the nodes related to each parts in order to output the best responses.

Moreover, the proposed system is able to reply any output with an apology sentence if no node matches the input.

1) Used resources: The baseline used a morphological analysis tool and an ELIZA-like system which are both language specific. However, the other parts of the output generation do not depend of the language. In consequence, the system can be adapted to other languages with a minimal work for any language for which those tools are provided. However, if one of these tools is not available the adaptation task becomes much more complicated.

In comparison, the proposed approach only needs target language samples to be trained and then be able to handle a dialogue in the targeted language. These samples can for example be simply extracted from chat logs of the user himself.

To achieve a fully end-to-end language agnostic dialogue system, it is possible to start the system without any knowledge and to let it acquire knowledge from the users' inputs. However, in this case the teaching process will be very annoying for the user. A better method would be make the system assist to a dialogue between two human and make it acquire the knowledge like a child heard people around him and finally become able to speak. The dialogue samples used in this paper can be considered as dialogues heard by the system during its childhood.

## IV. CONCLUSION

In this paper, we used the same language free algorithm to provide a real-time spoken dialogue agent to the user. We carried out experiment in Chinese, English and Japanese and got similar results in all the languages. Moreover, the precision obtained exceed the baseline if we exclude the ELIZA's responses.

The SeGA-ILSD system handles several languages; however it needs to be adapted to each one. To the contrary, the proposed system needs no special works to be adapted to another language. For example, we can input in the system both Chinese and Japanese training samples at the same time and the system will be able to output Chinese as well as Japanese sentences. However it cannot preserve context information from one language to the other.

In our future research, we will add emotional nodes [20] to the graph to enable the generation of more outputs using more parameters. In addition, sharing knowledge between users [21] must help the system to acquire many different knowledge directly from the users.

Finally, to be sure that the system is really multilingual; we are considering using samples of different languages simulta-

neously, in order to allow the user to switch languages during the same dialogue.

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